

**ANTISENSE MODULATION OF CD40 EXPRESSION**

**[0001]** This application is a continuation-in-part of US Application Serial No. 10/261,382 filed September 30, 2002 and International Patent Application No. PCT/US03/31166 filed September 30, 2003. This application is also a continuation-in-part of U.S. Application Serial No. 09/067,638, filed on April 28, 1998, which claims priority to U.S. Application Serial No. 60/081,483, filed on April 13, 1998. All of the foregoing are incorporated by reference herein in their entirety.

**FIELD OF THE INVENTION**

**[0002]** The present invention provides compositions and methods of modulating the expression of CD40. In particular, this invention relates to antisense compounds, particularly oligonucleotides, that are specifically hybridizable with nucleic acids encoding human CD40. Such oligonucleotides have been shown to modulate the expression of CD40.

**BACKGROUND OF THE INVENTION**

**[0003]** The immune system serves a vital role in protecting the body against infectious agents. It is well established, however, that a number of disease states and/or

disorders are a result of either abnormal or undesirable activation of immune responses. Common examples include graft versus host disease (GVHD), graft rejection, inflammation, and autoimmune linked diseases such as multiple sclerosis (MS), systemic lupus erythematosus (SLE), and certain forms of arthritis.

[0004] In general, an immune response is activated as a result of either tissue injury or infection. Both cases involve the recruitment and activation of a number of immune system effector cells (i.e. B- and T-lymphocytes, macrophages, eosinophils, neutrophils) in a process coordinated through a series of complex cell-cell interactions. A typical scenario by which an immune response is mounted against a foreign protein is as follows: Foreign proteins captured by antigen presenting cells (APC's) such as macrophages or dendritic cells are processed and displayed on the cell surface of the APC. Circulating T-helper cells which express an immunoglobulin that recognizes (i.e. binds) the displayed antigen undergo activation by the APC. These activated T-helpers in turn activate appropriate B-cell clones to proliferate and differentiate into plasma cells that produce and secrete humoral antibodies targeted against the foreign antigen. The secreted humoral antibodies are free to circulate and bind to any cells expressing the foreign protein on their cell surface, in effect marking the cell for destruction by other immune effector cells. In each of the stages described above, direct cell-cell contact between the involved cell types is required in order for activation to occur [Gruss et al., *Leuk. Lymphoma*, 24, 393 (1997)]. In recent years, a number of cell surface receptors that mediate these cell-cell contact dependent activation events

have been identified. Among these cell surface receptors is CD40 and its physiological ligand, CD40 Ligand (CD40L).

**[0005]** CD40 was first characterized as a receptor expressed on B-lymphocytes. It was later found that engagement of B-cell CD40 with CD40L expressed on activated T-cells is essential for T-cell dependent B-cell activation (i.e. proliferation, immunoglobulin secretion, and class switching. It was subsequently revealed that functional CD40 is expressed on a variety of cell types other than B-cells, including macrophages, dendritic cells, thymic epithelial cells, Langerhans cells, and endothelial cells. These studies have led to the current belief that CD40 plays a broad role in immune regulation by mediating interactions of T-cells with B-cells as well as other cell types. In support of this notion, it has been shown that stimulation of CD40 in macrophages and dendritic results is required for T-cell activation during antigen presentation [Gruss et al., *Leuk. Lymphoma*, 24, 393 (1997)]. Recent evidence points to a role for CD40 in tissue inflammation as well. Production of the inflammatory mediators IL-12 and nitric oxide by macrophages have been shown to be CD40 dependent [Buhlmann and Noelle, *J. Clin. Immunol.*, 16, 83 (1996)]. In endothelial cells, stimulation of CD40 by CD40L has been found to induce surface expression of E-selectin, ICAM-1, and VCAM-1, promoting adhesion of leukocytes to sites of inflammation [Buhlmann and Noelle, *J. Clin. Immunol.*, 16, 83 (1996); Gruss et al., *Leuk. Lymphoma*, 24, 393 (1997)]. Finally, a number of reports have documented overexpression of CD40 in epithelial and hematopoietic tumors as well as tumor infiltrating endothelial cells, indicating that CD40 may play a role in tumor growth and/or angiogenesis as well [Gruss et al., *Leuk. Lymphoma*, 24, 393 (1997); Kluth et al., *Cancer Res.*, 57, 891 (1997)].

[0006] Due to the pivotal role that CD40 plays in humoral immunity, the potential exists that therapeutic strategies aimed at downregulating CD40 or interfering with CD40 signaling may provide a novel class of agents useful in treating a number of immune associated disorders, including but not limited to graft-versus-host disease (GVHD), graft rejection, and autoimmune diseases such as multiple sclerosis (MS), systemic lupus erythematosus (SLE), and certain forms of arthritis. Inhibitors of CD40 may also prove useful as anti-inflammatory compounds, and could therefore be useful as treatment for a variety of inflammatory and allergic conditions such as asthma, rheumatoid arthritis, allograft rejections, inflammatory bowel disease, autoimmune encephalomyelitis, thyroiditis, various dermatological conditions, and psoriasis. Recently, both CD40 and CD154 have been shown to be expressed on vascular endothelial cells, vascular smooth muscle cells and macrophages present in atherosclerotic plaques, suggesting that inflammation and immunity contribute to the atherogenic process. That this process involves CD40 signaling is suggested by several studies in mouse models in which disruption of CD154 (by knockout or by monoclonal antibody) reduced the progression or size of atherosclerotic lesions. Mach et al., 1998, Nature, 394, 200-3, Lutgens et al., 1999, Nat Med. 5, 1313-6.

[0007] Finally, as more is learned of the association between CD40 overexpression and tumor growth, inhibitors of CD40 may prove useful as anti-tumor agents and inhibitors of other hyperproliferative conditions as well.

[0008] Currently, there are no known therapeutic agents which effectively inhibit the synthesis of CD40. To date, strategies aimed at inhibiting CD40 function have involved the use of a variety of agents that disrupt CD40/CD40L

binding. These include monoclonal antibodies directed against either CD40 or CD40L, soluble forms of CD40, and synthetic peptides derived from a second CD40 binding protein, A20. The use of neutralizing antibodies against CD40 and/or CD40L in animal models has provided evidence that inhibition of CD40 signaling would have therapeutic benefit for GVHD, allograft rejection, rheumatoid arthritis, SLE, MS, and B-cell lymphoma [Buhlmann and Noelle, *J. Clin. Immunol.*, 16, 83 (1996)]. Clinical investigations were initiated using CD154 monoclonal antibody in patients with lupus nephritis. However, studies were terminated due to the development of thrombotic events. Boumpas et al., 2003, *Arthritis Rheum.* Mar;48, 719-27.

**[0009]** Due to the problems associated with the use of large proteins as therapeutic agents, there is a long-felt need for additional agents capable of effectively inhibiting CD40 function. Antisense oligonucleotides avoid many of the pitfalls of current agents used to block CD40/CD40L interactions and may therefore prove to be uniquely useful in a number of therapeutic, diagnostic and research applications. U.S. Patent 6,197,584 (Bennett and Cowser) discloses antisense compounds targeted to CD40.

**[0010]** Peptide nucleic acids, alternately referenced as PNAs, are known to be useful as oligonucleotide mimetics. In PNAs, both the sugar and the internucleoside linkage, i.e., the backbone, of the nucleotide units of oligonucleotides are replaced with novel groups. The sugar-backbone of an oligonucleotide is replaced with an amide containing backbone, in particular an aminoethylglycine backbone. The nucleobases are retained and are bound directly or indirectly to aza nitrogen atoms of the amide portion of the backbone. The base units, i.e.,

nucleobases, are maintained for hybridization with an appropriate nucleic acid target compound.

**[0011]** PNAs have been shown to have excellent hybridization properties as well as other properties useful for diagnostics, therapeutics and as research reagents. They are particularly useful as antisense reagents. Other uses include monitoring telomere length, screening for genetic mutations and for affinity capture of nucleic acids. As antisense reagents they can be used for transcriptional and translational blocking of genes and to effect alternate splicing. Further they can be used to bind to double stranded nucleic acids. Each of these uses are known and have been published in either the scientific or patent literature.

**[0012]** The synthesis of and use of PNAs has been extensively described. Representative United States patents that teach the preparation of and use of PNA compounds include, but are not limited to, U.S. Patents 5,539,082; 5,553,083; 5,641,625; 5,714,331; 5,719,262; 5,766,855; 5,773,571; 5,786,461; 5,831,014; 5,864,010; 5,986,053; 6,201,103; 6,204,326; 6,210,892; 6,228,982; 6,350,853; 6,414,112; 6,441,130; and 6,451,968, each of which is herein incorporated by reference. Additionally PNA compounds are described in numerous published PCT patent applications including WO 92/20702. Further teaching of PNA compounds can be found in scientific publications. The first such publication was Nielsen et al., *Science*, **1991**, 254, 1497-1500.

**[0013]** Depending on sequence, the solubility of PNAs can differ and, as such, some PNA sequences are not soluble as might be desirable for a particular use. It was suggested in Karras, et al., *Biochemistry*, 2001, 40, 7853-7859, that PNAs could mediate splicing activity in cells. They

compared a PNA 15mer (a PNA having 15 monomeric units) to the same PNA having a single lysine amino acid jointed to its C terminus. They suggested that the attached, i.e., conjugated, lysine residue might improve the cellular uptake. However, they concluded that their present data "do not show a clear difference in activity between the PNA 15mer with and without a C-terminal lysine."

**[0014]** In published application US-2002-0049173-A1, published April 25, 2002, it was suggested that antisense compounds might have one or more cationic tails, preferable positively charged amino acids such as lysine or arginine, conjugated thereto. It was further suggested that one or more lysine or arginine residues might be conjugated to the C-terminal end of a PNA compound. No discrimination was made between the effects resulting from the conjugation of one lysine or arginine versus more than one of these lysine or arginine residues.

**[0015]** United States patent 6,593,292 suggests using guanidine or amidine moieties for uptake of various compounds including macromolecules. PNA is a suggested macromolecule. In one instance this patent suggests that the guanidine or amidine moieties comprise non-peptide backbones but in a further instance it suggested that the guanidine moiety will exist as a polyarginine molecule. However, no data is shown wherein any of these moieties are actually conjugated to a macromolecule and uptake is achieved.

**[0016]** In a transgenic mouse model, a 4-lysine conjugated PNA targeted to  $\beta$ -globin was demonstrated to provide efficacy in a range of tissues (Sazani et al., 2002, Nature Biotech. 20, 1228-1233).

#### **SUMMARY OF THE INVENTION**

[0017] The present invention is directed to antisense compounds, particularly oligonucleotides, that are targeted to a nucleic acid encoding CD40, and that modulate the expression of CD40. Pharmaceutical and other compositions comprising the antisense compounds of the invention are also provided. Further provided are methods of modulating the expression of CD40 in cells or tissues comprising contacting said cells or tissues with one or more of the antisense compounds or compositions of the invention. Further provided are methods of treating an animal, particularly a human, suspected of having or being prone to a disease or condition associated with expression of CD40 by administering a therapeutically or prophylactically effective amount of one or more of the antisense compounds or compositions of the invention.

#### **DETAILED DESCRIPTION OF THE INVENTION**

##### **A. Overview of the Invention**

[0018] The present invention employs antisense compounds, preferably oligonucleotides and similar species for use in modulating the function or effect of nucleic acid molecules encoding CD40. This is accomplished by providing oligonucleotides which specifically hybridize with one or more nucleic acid molecules encoding CD40. As used herein, the terms "target nucleic acid" and "nucleic acid molecule encoding CD40" have been used for convenience to encompass DNA encoding CD40, RNA (including pre-mRNA and mRNA or portions thereof) transcribed from such DNA, and also cDNA derived from such RNA. The hybridization of a compound of this invention with its target nucleic acid is generally referred to as "antisense". Consequently, the preferred mechanism believed to be included in the practice of some preferred embodiments of the invention is referred to herein



as "antisense inhibition." Such antisense inhibition is typically based upon hydrogen bonding-based hybridization of oligonucleotide strands or segments such that at least one strand or segment is cleaved, degraded, or otherwise rendered inoperable. In this regard, it is presently preferred to target specific nucleic acid molecules and their functions for such antisense inhibition.

**[0019]** The functions of DNA to be interfered with can include replication and transcription. Replication and transcription, for example, can be from an endogenous cellular template, a vector, a plasmid construct or otherwise. The functions of RNA to be interfered with can include functions such as translocation of the RNA to a site of protein translation, translocation of the RNA to sites within the cell which are distant from the site of RNA synthesis, translation of protein from the RNA, splicing of the RNA to yield one or more RNA species, and catalytic activity or complex formation involving the RNA which may be engaged in or facilitated by the RNA. One preferred result of such interference with target nucleic acid function is modulation of the expression of CD40. In the context of the present invention, "modulation" and "modulation of expression" mean either an increase (stimulation) or a decrease (inhibition) in the amount or levels of a nucleic acid molecule encoding the gene, e.g., DNA or RNA. Inhibition is often the preferred form of modulation of expression and mRNA is often a preferred target nucleic acid.

**[0020]** In the context of this invention, "hybridization" means the pairing of complementary strands of oligomeric compounds. In the present invention, the preferred mechanism of pairing involves hydrogen bonding, which may be Watson-Crick, Hoogsteen or reversed Hoogsteen hydrogen

bonding, between complementary nucleoside or nucleotide bases (nucleobases) of the strands of oligomeric compounds. For example, adenine and thymine are complementary nucleobases which pair through the formation of hydrogen bonds. Hybridization can occur under varying circumstances.

**[0021]** An antisense compound is specifically hybridizable when binding of the compound to the target nucleic acid interferes with the normal function of the target nucleic acid to cause a loss of activity, and there is a sufficient degree of complementarity to avoid non-specific binding of the antisense compound to non-target nucleic acid sequences under conditions in which specific binding is desired, i.e., under physiological conditions in the case of *in vivo* assays or therapeutic treatment, and under conditions in which assays are performed in the case of *in vitro* assays.

**[0022]** In the present invention the phrase "stringent hybridization conditions" or "stringent conditions" refers to conditions under which a compound of the invention will hybridize to its target sequence, but to a minimal number of other sequences. Stringent conditions are sequence-dependent and will be different in different circumstances and in the context of this invention, "stringent conditions" under which oligomeric compounds hybridize to a target sequence are determined by the nature and composition of the oligomeric compounds and the assays in which they are being investigated.

**[0023]** "Complementary," as used herein, refers to the capacity for precise pairing between two nucleobases of an oligomeric compound. For example, if a nucleobase at a certain position of an oligonucleotide (an oligomeric compound), is capable of hydrogen bonding with a nucleobase at a certain position of a target nucleic acid, said target nucleic acid being a DNA, RNA, or oligonucleotide molecule,

then the position of hydrogen bonding between the oligonucleotide and the target nucleic acid is considered to be a complementary position. The oligonucleotide and the further DNA, RNA, or oligonucleotide molecule are complementary to each other when a sufficient number of complementary positions in each molecule are occupied by nucleobases which can hydrogen bond with each other. Thus, "specifically hybridizable" and "complementary" are terms which are used to indicate a sufficient degree of precise pairing or complementarity over a sufficient number of nucleobases such that stable and specific binding occurs between the oligonucleotide and a target nucleic acid.

**[0024]** It is understood in the art that the sequence of an antisense compound need not be 100% complementary to that of its target nucleic acid to be specifically hybridizable. Moreover, an oligonucleotide may hybridize over one or more segments such that intervening or adjacent segments are not involved in the hybridization event (e.g., a loop structure or hairpin structure). It is preferred that the antisense compounds of the present invention comprise at least 70%, or at least 75%, or at least 80%, or at least 85% sequence complementarity to a target region within the target nucleic acid, more preferably that they comprise at least 90% sequence complementarity and even more preferably comprise at least 95% or at least 99% sequence complementarity to the target region within the target nucleic acid sequence to which they are targeted. For example, an antisense compound in which 18 of 20 nucleobases of the antisense compound are complementary to a target region, and would therefore specifically hybridize, would represent 90 percent complementarity. In this example, the remaining noncomplementary nucleobases may be clustered or interspersed with complementary nucleobases and need not be

contiguous to each other or to complementary nucleobases. As such, an antisense compound which is 18 nucleobases in length having 4 (four) noncomplementary nucleobases which are flanked by two regions of complete complementarity with the target nucleic acid would have 77.8% overall complementarity with the target nucleic acid and would thus fall within the scope of the present invention. Percent complementarity of an antisense compound with a region of a target nucleic acid can be determined routinely using BLAST programs (basic local alignment search tools) and PowerBLAST programs known in the art (Altschul et al., *J. Mol. Biol.*, **1990**, 215, 403-410; Zhang and Madden, *Genome Res.*, **1997**, 7, 649-656).

**[0025]** Percent homology, sequence identity or complementarity, can be determined by, for example, the Gap program (Wisconsin Sequence Analysis Package, Version 8 for Unix, Genetics Computer Group, University Research Park, Madison WI), using default settings, which uses the algorithm of Smith and Waterman (*Adv. Appl. Math.*, 1981, 2, 482-489). In some preferred embodiments, homology, sequence identity or complementarity, between the oligomeric and target is between about 50% to about 60%. In some embodiments, homology, sequence identity or complementarity, is between about 60% to about 70%. In preferred embodiments, homology, sequence identity or complementarity, is between about 70% and about 80%. In more preferred embodiments, homology, sequence identity or complementarity, is between about 80% and about 90%. In some preferred embodiments, homology, sequence identity or complementarity, is about 90%, about 92%, about 94%, about 95%, about 96%, about 97%, about 98%, about 99% or about 100%.

## **B. Compounds of the Invention**

**[0026]** According to the present invention, antisense compounds include antisense oligomeric compounds, antisense oligonucleotides, ribozymes, external guide sequence (EGS) oligonucleotides, alternate splicers, primers, probes, and other oligomeric compounds which hybridize to at least a portion of the target nucleic acid. As such, these compounds may be introduced in the form of single-stranded, double-stranded, circular or hairpin oligomeric compounds and may contain structural elements such as internal or terminal bulges or loops. Once introduced to a system, the compounds of the invention may elicit the action of one or more enzymes or structural proteins to effect modification of the target nucleic acid.

**[0027]** One non-limiting example of such an enzyme is RNase H, a cellular endonuclease which cleaves the RNA strand of an RNA:DNA duplex. It is known in the art that single-stranded antisense compounds which are "DNA-like" elicit RNase H. Activation of RNase H, therefore, results in cleavage of the RNA target, thereby greatly enhancing the efficiency of oligonucleotide-mediated inhibition of gene expression. Similar roles have been postulated for other ribonucleases such as those in the RNase III and ribonuclease L family of enzymes.

**[0028]** While the preferred form of antisense compound is a single-stranded antisense oligonucleotide, in many species the introduction of double-stranded structures, such as double-stranded RNA (dsRNA) molecules, has been shown to induce potent and specific antisense-mediated reduction of the function of a gene or its associated gene products. The first evidence that dsRNA could lead to gene silencing in animals came in 1995 from work in the nematode, *Caenorhabditis elegans* (Guo and Kempheus, *Cell*, **1995**, 81, 611-620). Montgomery et al. have shown that the primary

interference effects of dsRNA are posttranscriptional (Montgomery et al., *Proc. Natl. Acad. Sci. USA*, **1998**, 95, 15502-15507). The posttranscriptional antisense mechanism defined in *Caenorhabditis elegans* resulting from exposure to double-stranded RNA (dsRNA) has since been designated RNA interference (RNAi). This term has been generalized to mean antisense-mediated gene silencing involving the introduction of dsRNA leading to the sequence-specific reduction of endogenous targeted mRNA levels (Fire et al., *Nature*, **1998**, 391, 806-811). Recently, it has been shown that it is, in fact, the single-stranded RNA oligomers of antisense polarity of the dsRNAs which are the potent inducers of RNAi (Tijsterman et al., *Science*, **2002**, 295, 694-697).

**[0029]** In the context of this invention, the term "oligomeric compound" refers to a polymer or oligomer comprising a plurality of monomeric units. In the context of this invention, the term "oligonucleotide" refers to an oligomer or polymer of ribonucleic acid (RNA) or deoxyribonucleic acid (DNA) or mimetics, chimeras, analogs and homologs thereof. This term includes oligonucleotides composed of naturally occurring nucleobases, sugars and covalent internucleoside (backbone) linkages as well as oligonucleotides having non-naturally occurring portions which function similarly. Such modified or substituted oligonucleotides are often preferred over native forms because of desirable properties such as, for example, enhanced cellular uptake, enhanced affinity for a target nucleic acid and increased stability in the presence of nucleases.

**[0030]** While oligonucleotides are a preferred form of the antisense compounds of this invention, the present invention comprehends other families of antisense compounds as well,

including but not limited to oligonucleotide analogs and mimetics such as those described herein.

**[0031]** The antisense compounds in accordance with this invention preferably comprise from about 8 to about 80 nucleobases (i.e. from about 8 to about 80 linked nucleosides). One of ordinary skill in the art will appreciate that the invention embodies compounds of 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, or 80 nucleobases in length.

**[0032]** In one preferred embodiment, the antisense compounds of the invention are 12 to 50 nucleobases in length. One having ordinary skill in the art will appreciate that this embodies compounds of 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, or 50 nucleobases in length.

**[0033]** In another preferred embodiment, the antisense compounds of the invention are 15 to 30 nucleobases in length. One having ordinary skill in the art will appreciate that this embodies compounds of 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, or 30 nucleobases in length.

**[0034]** Particularly preferred compounds are oligonucleotides from about 12 to about 50 nucleobases, even more preferably those comprising from about 15 to about 30 nucleobases.

**[0035]** Antisense compounds 8-80 nucleobases in length comprising a stretch of at least eight (8) consecutive nucleobases selected from within the illustrative antisense

compounds are considered to be suitable antisense compounds as well.

**[0036]** Exemplary preferred antisense compounds include oligonucleotide sequences that comprise at least the 8 consecutive nucleobases from the 5'-terminus of one of the illustrative preferred antisense compounds (the remaining nucleobases being a consecutive stretch of the same oligonucleotide beginning immediately upstream of the 5'-terminus of the antisense compound which is specifically hybridizable to the target nucleic acid and continuing until the oligonucleotide contains about 8 to about 80 nucleobases). Similarly preferred antisense compounds are represented by oligonucleotide sequences that comprise at least the 8 consecutive nucleobases from the 3'-terminus of one of the illustrative preferred antisense compounds (the remaining nucleobases being a consecutive stretch of the same oligonucleotide beginning immediately downstream of the 3'-terminus of the antisense compound which is specifically hybridizable to the target nucleic acid and continuing until the oligonucleotide contains about 8 to about 80 nucleobases). It is also understood that preferred antisense compounds may be represented by oligonucleotide sequences that comprise at least 8 consecutive nucleobases from an internal portion of the sequence of an illustrative preferred antisense compound, and may extend in either or both directions until the oligonucleotide contains about 8 to about 80 nucleobases.

**[0037]** One having skill in the art armed with the preferred antisense compounds illustrated herein will be able, without undue experimentation, to identify further preferred antisense compounds.



**C. Targets of the Invention**

**[0038]** "Targeting" an antisense compound to a particular nucleic acid molecule, in the context of this invention, can be a multistep process. The process usually begins with the identification of a target nucleic acid whose function is to be modulated. This target nucleic acid may be, for example, a cellular gene (or mRNA transcribed from the gene) whose expression is associated with a particular disorder or disease state, or a nucleic acid molecule from an infectious agent. In the present invention, the target nucleic acid encodes CD40.

**[0039]** The targeting process usually also includes determination of at least one target region, segment, or site within the target nucleic acid for the antisense interaction to occur such that the desired effect, e.g., modulation of expression, will result. Within the context of the present invention, the term "region" is defined as a portion of the target nucleic acid having at least one identifiable structure, function, or characteristic. Within regions of target nucleic acids are segments. "Segments" are defined as smaller or sub-portions of regions within a target nucleic acid. "Sites," as used in the present invention, are defined as positions within a target nucleic acid.

**[0040]** Since, as is known in the art, the translation initiation codon is typically 5'-AUG (in transcribed mRNA molecules; 5'-ATG in the corresponding DNA molecule), the translation initiation codon is also referred to as the "AUG codon," the "start codon" or the "AUG start codon". A minority of genes have a translation initiation codon having the RNA sequence 5'-GUG, 5'-UUG or 5'-CUG, and 5'-AUA, 5'-ACG and 5'-CUG have been shown to function *in vivo*. Thus, the terms "translation initiation codon" and "start

codon" can encompass many codon sequences, even though the initiator amino acid in each instance is typically methionine (in eukaryotes) or formylmethionine (in prokaryotes). It is also known in the art that eukaryotic and prokaryotic genes may have two or more alternative start codons, any one of which may be preferentially utilized for translation initiation in a particular cell type or tissue, or under a particular set of conditions. In the context of the invention, "start codon" and "translation initiation codon" refer to the codon or codons that are used *in vivo* to initiate translation of an mRNA transcribed from a gene encoding CD40, regardless of the sequence(s) of such codons. It is also known in the art that a translation termination codon (or "stop codon") of a gene may have one of three sequences, i.e., 5'-UAA, 5'-UAG and 5'-UGA (the corresponding DNA sequences are 5'-TAA, 5'-TAG and 5'-TGA, respectively).

**[0041]** The terms "start codon region" and "translation initiation codon region" refer to a portion of such an mRNA or gene that encompasses from about 25 to about 50 contiguous nucleotides in either direction (i.e., 5' or 3') from a translation initiation codon. Similarly, the terms "stop codon region" and "translation termination codon region" refer to a portion of such an mRNA or gene that encompasses from about 25 to about 50 contiguous nucleotides in either direction (i.e., 5' or 3') from a translation termination codon. Consequently, the "start codon region" (or "translation initiation codon region") and the "stop codon region" (or "translation termination codon region") are all regions which may be targeted effectively with the antisense compounds of the present invention.

**[0042]** The open reading frame (ORF) or "coding region," which is known in the art to refer to the region between the

translation initiation codon and the translation termination codon, is also a region which may be targeted effectively. Within the context of the present invention, a preferred region is the intragenic region encompassing the translation initiation or termination codon of the open reading frame (ORF) of a gene.

**[0043]** Other target regions include the 5' untranslated region (5'UTR), known in the art to refer to the portion of an mRNA in the 5' direction from the translation initiation codon, and thus including nucleotides between the 5' cap site and the translation initiation codon of an mRNA (or corresponding nucleotides on the gene), and the 3' untranslated region (3'UTR), known in the art to refer to the portion of an mRNA in the 3' direction from the translation termination codon, and thus including nucleotides between the translation termination codon and 3' end of an mRNA (or corresponding nucleotides on the gene). The 5' cap site of an mRNA comprises an N7-methylated guanosine residue joined to the 5'-most residue of the mRNA via a 5'-5' triphosphate linkage. The 5' cap region of an mRNA is considered to include the 5' cap structure itself as well as the first 50 nucleotides adjacent to the cap site. It is also preferred to target the 5' cap region.

**[0044]** Although some eukaryotic mRNA transcripts are directly translated, many contain one or more regions, known as "introns," which are excised from a transcript before it is translated. The remaining (and therefore translated) regions are known as "exons" and are spliced together to form a continuous mRNA sequence. Targeting splice sites, i.e., intron-exon junctions or exon-intron junctions, may also be particularly useful in situations where aberrant splicing is implicated in disease, or where an overproduction of a particular splice product is implicated

in disease. Aberrant fusion junctions due to rearrangements or deletions are also preferred target sites. mRNA transcripts produced via the process of splicing of two (or more) mRNAs from different gene sources are known as "fusion transcripts". It is also known that introns can be effectively targeted using antisense compounds targeted to, for example, DNA or pre-mRNA.

[0045] It is also known in the art that alternative RNA transcripts can be produced from the same genomic region of DNA. These alternative transcripts are generally known as "variants". More specifically, "pre-mRNA variants" are transcripts produced from the same genomic DNA that differ from other transcripts produced from the same genomic DNA in either their start or stop position and contain both intronic and exonic sequence.

[0046] Upon excision of one or more exon or intron regions, or portions thereof during splicing, pre-mRNA variants produce smaller "mRNA variants". Consequently, mRNA variants are processed pre-mRNA variants and each unique pre-mRNA variant must always produce a unique mRNA variant as a result of splicing. These mRNA variants are also known as "alternative splice variants". If no splicing of the pre-mRNA variant occurs then the pre-mRNA variant is identical to the mRNA variant.

[0047] It is also known in the art that variants can be produced through the use of alternative signals to start or stop transcription and that pre-mRNAs and mRNAs can possess more than one start codon or stop codon. Variants that originate from a pre-mRNA or mRNA that use alternative start codons are known as "alternative start variants" of that pre-mRNA or mRNA. Those transcripts that use an alternative stop codon are known as "alternative stop variants" of that pre-mRNA or mRNA. One specific type of alternative stop

variant is the "polyA variant" in which the multiple transcripts produced result from the alternative selection of one of the "polyA stop signals" by the transcription machinery, thereby producing transcripts that terminate at unique polyA sites. Within the context of the invention, the types of variants described herein are also preferred target nucleic acids.

**[0048]** The locations on the target nucleic acid to which the preferred antisense compounds hybridize are hereinbelow referred to as "preferred target segments." As used herein the term "preferred target segment" is defined as at least an 8-nucleobase portion of a target region to which an active antisense compound is targeted. While not wishing to be bound by theory, it is presently believed that these target segments represent portions of the target nucleic acid which are accessible for hybridization.

**[0049]** While the specific sequences of certain preferred target segments are set forth herein, one of skill in the art will recognize that these serve to illustrate and describe particular embodiments within the scope of the present invention. Additional preferred target segments may be identified by one having ordinary skill.

**[0050]** Target segments 8-80 nucleobases in length comprising a stretch of at least eight (8) consecutive nucleobases selected from within the illustrative preferred target segments are considered to be suitable for targeting as well.

**[0051]** Target segments can include DNA or RNA sequences that comprise at least the 8 consecutive nucleobases from the 5'-terminus of one of the illustrative preferred target segments (the remaining nucleobases being a consecutive stretch of the same DNA or RNA beginning immediately upstream of the 5'-terminus of the target segment and

continuing until the DNA or RNA contains about 8 to about 80 nucleobases). Similarly preferred target segments are represented by DNA or RNA sequences that comprise at least the 8 consecutive nucleobases from the 3'-terminus of one of the illustrative preferred target segments (the remaining nucleobases being a consecutive stretch of the same DNA or RNA beginning immediately downstream of the 3'-terminus of the target segment and continuing until the DNA or RNA contains about 8 to about 80 nucleobases). It is also understood that preferred antisense target segments may be represented by DNA or RNA sequences that comprise at least 8 consecutive nucleobases from an internal portion of the sequence of an illustrative preferred target segment, and may extend in either or both directions until the oligonucleotide contains about 8 to about 80 nucleobases. One having skill in the art armed with the preferred target segments illustrated herein will be able, without undue experimentation, to identify further preferred target segments.

**[0052]** Once one or more target regions, segments or sites have been identified, antisense compounds are chosen which are sufficiently complementary to the target, i.e., hybridize sufficiently well and with sufficient specificity, to give the desired effect.

**[0053]** The oligomeric antisense compounds may also be targeted to regions of the target nucleobase sequence (e.g., such as those disclosed in Example 9) comprising nucleobases 1-80, 81-160, 161-240, 241-320, 321-400, 401-480, 481-560, 561-640, 641-720, 721-800, 801-880, 881-960, 961-1004, or any combination thereof.

**D. Screening and Target Validation**

**[0054]** In a further embodiment, the "preferred target segments" identified herein may be employed in a screen for additional compounds that modulate the expression of CD40. "Modulators" are those compounds that decrease or increase the expression of a nucleic acid molecule encoding CD40 and which comprise at least an 8-nucleobase portion which is complementary to a preferred target segment. The screening method comprises the steps of contacting a preferred target segment of a nucleic acid molecule encoding CD40 with one or more candidate modulators, and selecting for one or more candidate modulators which decrease or increase the expression of a nucleic acid molecule encoding CD40. Once it is shown that the candidate modulator or modulators are capable of modulating (e.g. either decreasing or increasing) the expression of a nucleic acid molecule encoding CD40, the modulator may then be employed in further investigative studies of the function of CD40, or for use as a research, diagnostic, or therapeutic agent in accordance with the present invention.

**[0055]** The preferred target segments of the present invention may be also be combined with their respective complementary antisense compounds of the present invention to form stabilized double-stranded (duplexed) oligonucleotides.

**[0056]** Such double stranded oligonucleotide moieties have been shown in the art to modulate target expression and regulate translation as well as RNA processing via an antisense mechanism. Moreover, the double-stranded moieties may be subject to chemical modifications (Fire et al., *Nature*, **1998**, 391, 806-811; Timmons and Fire, *Nature* **1998**, 395, 854; Timmons et al., *Gene*, **2001**, 263, 103-112; Tabara et al., *Science*, **1998**, 282, 430-431; Montgomery et al.,

*Proc. Natl. Acad. Sci. USA*, **1998**, 95, 15502-15507; Tuschl et al., *Genes Dev.*, **1999**, 13, 3191-3197; Elbashir et al., *Nature*, **2001**, 411, 494-498; Elbashir et al., *Genes Dev.* **2001**, 15, 188-200). For example, such double-stranded moieties have been shown to inhibit the target by the classical hybridization of antisense strand of the duplex to the target, thereby triggering enzymatic degradation of the target (Tijsterman et al., *Science*, **2002**, 295, 694-697).

**[0057]** The antisense compounds of the present invention can also be applied in the areas of drug discovery and target validation. The present invention comprehends the use of the compounds and preferred target segments identified herein in drug discovery efforts to elucidate relationships that exist between CD40 and a disease state, phenotype, or condition. These methods include detecting or modulating CD40 comprising contacting a sample, tissue, cell, or organism with the compounds of the present invention, measuring the nucleic acid or protein level of CD40 and/or a related phenotypic or chemical endpoint at some time after treatment, and optionally comparing the measured value to a non-treated sample or sample treated with a further compound of the invention. These methods can also be performed in parallel or in combination with other experiments to determine the function of unknown genes for the process of target validation or to determine the validity of a particular gene product as a target for treatment or prevention of a particular disease, condition, or phenotype.

#### **E. Kits, Research Reagents, Diagnostics, and Therapeutics**

**[0058]** The antisense compounds of the present invention can be utilized for diagnostics, therapeutics, prophylaxis and as research reagents and kits. Furthermore, antisense oligonucleotides, which are able to inhibit gene expression



with exquisite specificity, are often used by those of ordinary skill to elucidate the function of particular genes or to distinguish between functions of various members of a biological pathway.

**[0059]** For use in kits and diagnostics, the compounds of the present invention, either alone or in combination with other compounds or therapeutics, can be used as tools in differential and/or combinatorial analyses to elucidate expression patterns of a portion or the entire complement of genes expressed within cells and tissues.

**[0060]** As one nonlimiting example, expression patterns within cells or tissues treated with one or more antisense compounds are compared to control cells or tissues not treated with antisense compounds and the patterns produced are analyzed for differential levels of gene expression as they pertain, for example, to disease association, signaling pathway, cellular localization, expression level, size, structure or function of the genes examined. These analyses can be performed on stimulated or unstimulated cells and in the presence or absence of other compounds which affect expression patterns.

**[0061]** Examples of methods of gene expression analysis known in the art include DNA arrays or microarrays (Brazma and Vilo, *FEBS Lett.*, **2000**, 480, 17-24; Celis, et al., *FEBS Lett.*, **2000**, 480, 2-16), SAGE (serial analysis of gene expression) (Madden, et al., *Drug Discov. Today*, **2000**, 5, 415-425), READS (restriction enzyme amplification of digested cDNAs) (Prashar and Weissman, *Methods Enzymol.*, **1999**, 303, 258-72), TOGA (total gene expression analysis) (Sutcliffe, et al., *Proc. Natl. Acad. Sci. U. S. A.*, **2000**, 97, 1976-81), protein arrays and proteomics (Celis, et al., *FEBS Lett.*, **2000**, 480, 2-16; Jungblut, et al., *Electrophoresis*, **1999**, 20, 2100-10), expressed sequence tag

(EST) sequencing (Celis, et al., *FEBS Lett.*, **2000**, 480, 2-16; Larsson, et al., *J. Biotechnol.*, **2000**, 80, 143-57), subtractive RNA fingerprinting (SuRF) (Fuchs, et al., *Anal. Biochem.*, **2000**, 286, 91-98; Larson, et al., *Cytometry*, **2000**, 41, 203-208), subtractive cloning, differential display (DD) (Jurecic and Belmont, *Curr. Opin. Microbiol.*, **2000**, 3, 316-21), comparative genomic hybridization (Carulli, et al., *J. Cell Biochem. Suppl.*, **1998**, 31, 286-96), FISH (fluorescent *in situ* hybridization) techniques (Going and Gusterson, *Eur. J. Cancer*, **1999**, 35, 1895-904) and mass spectrometry methods (To, *Comb. Chem. High Throughput Screen*, **2000**, 3, 235-41).

**[0062]** The antisense compounds of the invention are useful for research and diagnostics, because these compounds hybridize to nucleic acids encoding CD40. For example, oligonucleotides that are shown to hybridize with such efficiency and under such conditions as disclosed herein as to be effective CD40 inhibitors will also be effective primers or probes under conditions favoring gene amplification or detection, respectively. These primers and probes are useful in methods requiring the specific detection of nucleic acid molecules encoding CD40 and in the amplification of said nucleic acid molecules for detection or for use in further studies of CD40. Hybridization of the antisense oligonucleotides, particularly the primers and probes, of the invention with a nucleic acid encoding CD40 can be detected by means known in the art. Such means may include conjugation of an enzyme to the oligonucleotide, radiolabelling of the oligonucleotide or any other suitable detection means. Kits using such detection means for detecting the level of CD40 in a sample may also be prepared.

**[0063]** The specificity and sensitivity of antisense is also harnessed by those of skill in the art for therapeutic

uses. Antisense compounds have been employed as therapeutic moieties in the treatment of disease states in animals, including humans. Antisense oligonucleotide drugs, including ribozymes, have been safely and effectively administered to humans and numerous clinical trials are presently underway. It is thus established that antisense compounds can be useful therapeutic modalities that can be configured to be useful in treatment regimes for the treatment of cells, tissues and animals, especially humans.

**[0064]** For therapeutics, an animal, preferably a human, suspected of having a disease or disorder which can be treated by modulating the expression of CD40 is treated by administering antisense compounds in accordance with this invention. For example, in one non-limiting embodiment, the methods comprise the step of administering to the animal in need of treatment, a therapeutically effective amount of a CD40 inhibitor. The CD40 inhibitors of the present invention effectively inhibit the activity of the CD40 protein or inhibit the expression of the CD40 protein. In one embodiment, the activity or expression of CD40 in an animal is inhibited by about 10%. Preferably, the activity or expression of CD40 in an animal is inhibited by about 30%. More preferably, the activity or expression of CD40 in an animal is inhibited by 50% or more. Thus, the oligomeric antisense compounds modulate expression of CD40 mRNA by at least 10%, by at least 20%, by at least 25%, by at least 30%, by at least 40%, by at least 50%, by at least 60%, by at least 70%, by at least 75%, by at least 80%, by at least 85%, by at least 90%, by at least 95%, by at least 98%, by at least 99%, or by 100%.

**[0065]** For example, the reduction of the expression of CD40 may be measured in serum, adipose tissue, liver or any other body fluid, tissue or organ of the animal. Preferably,

the cells contained within said fluids, tissues or organs being analyzed contain a nucleic acid molecule encoding CD40 protein and/or the CD40 protein itself.

**[0066]** The antisense compounds of the invention can be utilized in pharmaceutical compositions by adding an effective amount of a compound to a suitable pharmaceutically acceptable diluent or carrier. Use of the compounds and methods of the invention may also be useful prophylactically.

#### **F. Modifications**

**[0067]** As is known in the art, a nucleoside is a base-sugar combination. The base portion of the nucleoside is normally a heterocyclic base sometimes referred to as a "nucleobase" or simply a "base". The two most common classes of such heterocyclic bases are the purines and the pyrimidines. Nucleotides are nucleosides that further include a phosphate group covalently linked to the sugar portion of the nucleoside. For those nucleosides that include a pentofuranosyl sugar, the phosphate group can be linked to either the 2', 3' or 5' hydroxyl moiety of the sugar. In forming oligonucleotides, the phosphate groups covalently link adjacent nucleosides to one another to form a linear polymeric compound. In turn, the respective ends of this linear polymeric compound can be further joined to form a circular compound, however, linear compounds are generally preferred. In addition, linear compounds may have internal nucleobase complementarity and may therefore fold in a manner as to produce a fully or partially double-stranded compound. Within oligonucleotides, the phosphate groups are commonly referred to as forming the internucleoside backbone of the oligonucleotide. The normal linkage or backbone of RNA and DNA is a 3' to 5'

phosphodiester linkage.

Modified Internucleoside Linkages (Backbones)

**[0068]** Specific examples of preferred antisense compounds useful in this invention include oligonucleotides containing modified backbones or non-natural internucleoside linkages. As defined in this specification, oligonucleotides having modified backbones include those that retain a phosphorus atom in the backbone and those that do not have a phosphorus atom in the backbone. For the purposes of this specification, and as sometimes referenced in the art, modified oligonucleotides that do not have a phosphorus atom in their internucleoside backbone can also be considered to be oligonucleosides.

**[0069]** Preferred modified oligonucleotide backbones containing a phosphorus atom therein include, for example, phosphorothioates, chiral phosphorothioates, phosphorodithioates, phosphotriesters, aminoalkylphosphotriaminoalkylphosphotriesters, methyl and other alkyl phosphonates including 3'-alkylene phosphonates, 5'-alkylene phosphonates and chiral phosphonates, phosphinates, phosphoramidates including 3'-amino phosphoramidate and aminoalkylphosphoramidates, thionophosphoramidates, thionoalkylphosphonates, thionoalkylphosphotriesters, selenophosphates and boranophosphates having normal 3'-5' linkages, 2'-5' linked analogs of these, and those having inverted polarity wherein one or more internucleotide linkages is a 3' to 3', 5' to 5' or 2' to 2' linkage. Preferred oligonucleotides having inverted polarity comprise a single 3' to 3' linkage at the 3'-most internucleotide linkage i.e. a single inverted nucleoside residue which may be abasic (the nucleobase is missing or has a hydroxyl group in place thereof). Various salts, mixed salts and free acid

forms are also included.

**[0070]** Representative United States patents that teach the preparation of the above phosphorus-containing linkages include, but are not limited to, U.S.: 3,687,808; 4,469,863; 4,476,301; 5,023,243; 5,177,196; 5,188,897; 5,264,423; 5,276,019; 5,278,302; 5,286,717; 5,321,131; 5,399,676; 5,405,939; 5,453,496; 5,455,233; 5,466,677; 5,476,925; 5,519,126; 5,536,821; 5,541,306; 5,550,111; 5,563,253; 5,571,799; 5,587,361; 5,194,599; 5,565,555; 5,527,899; 5,721,218; 5,672,697 and 5,625,050, certain of which are commonly owned with this application, and each of which is herein incorporated by reference.

**[0071]** Preferred modified oligonucleotide backbones that do not include a phosphorus atom therein have backbones that are formed by short chain alkyl or cycloalkyl internucleoside linkages, mixed heteroatom and alkyl or cycloalkyl internucleoside linkages, or one or more short chain heteroatomic or heterocyclic internucleoside linkages. These include those having morpholino linkages (formed in part from the sugar portion of a nucleoside); siloxane backbones; sulfide, sulfoxide and sulfone backbones; formacetyl and thioformacetyl backbones; methylene formacetyl and thioformacetyl backbones; riboacetyl backbones; alkene containing backbones; sulfamate backbones; methyleneimino and methylenehydrazino backbones; sulfonate and sulfonamide backbones; amide backbones; and others having mixed N, O, S and CH<sub>2</sub> component parts.

**[0072]** Representative United States patents that teach the preparation of the above oligonucleosides include, but are not limited to, U.S.: 5,034,506; 5,166,315; 5,185,444; 5,214,134; 5,216,141; 5,235,033; 5,264,562; 5,264,564; 5,405,938; 5,434,257; 5,466,677; 5,470,967; 5,489,677; 5,541,307; 5,561,225; 5,596,086; 5,602,240; 5,610,289;

5,602,240; 5,608,046; 5,610,289; 5,618,704; 5,623,070; 5,663,312; 5,633,360; 5,677,437; 5,792,608; 5,646,269 and 5,677,439, certain of which are commonly owned with this application, and each of which is herein incorporated by reference.

Modified sugar and internucleoside linkages-Mimetics

**[0073]** In other preferred antisense compounds, e.g., oligonucleotide mimetics, both the sugar and the internucleoside linkage (i.e. the backbone), of the nucleotide units are replaced with novel groups. The nucleobase units are maintained for hybridization with an appropriate target nucleic acid. One such compound, an oligonucleotide mimetic that has been shown to have excellent hybridization properties, is referred to as a peptide nucleic acid (PNA). In PNA compounds, the sugar-backbone of an oligonucleotide is replaced with an amide containing backbone, in particular an aminoethylglycine backbone. The nucleobases are retained and are bound directly or indirectly to aza nitrogen atoms of the amide portion of the backbone. Representative United States patents that teach the preparation of PNA compounds include, but are not limited to, U.S.: 5,539,082; 5,714,331; and 5,719,262, each of which is herein incorporated by reference. Further teaching of PNA compounds can be found in Nielsen *et al.*, *Science*, **1991**, 254, 1497-1500.

**[0074]** Preferred embodiments of the invention are oligonucleotides with phosphorothioate backbones and oligonucleosides with heteroatom backbones, and in particular  $-\text{CH}_2-\text{NH}-\text{O}-\text{CH}_2-$ ,  $-\text{CH}_2-\text{N}(\text{CH}_3)-\text{O}-\text{CH}_2-$  [known as a methylene (methylimino) or MMI backbone],  $-\text{CH}_2-\text{O}-\text{N}(\text{CH}_3)-\text{CH}_2-$ ,  $-\text{CH}_2-\text{N}(\text{CH}_3)-\text{N}(\text{CH}_3)-\text{CH}_2-$  and  $-\text{O}-\text{N}(\text{CH}_3)-\text{CH}_2-\text{CH}_2-$  [wherein the native phosphodiester backbone is represented as  $-\text{O}-\text{P}-\text{O}-\text{CH}_2-$ ]

of the above referenced U.S. patent 5,489,677, and the amide backbones of the above referenced U.S. patent 5,602,240. Also preferred are oligonucleotides having morpholino backbone structures of the above-referenced U.S. patent 5,034,506.

#### Modified sugars

**[0075]** Modified antisense compounds may also contain one or more substituted sugar moieties. Preferred are antisense compounds, preferably antisense oligonucleotides, comprising one of the following at the 2' position: OH; F; O-, S-, or N-alkyl; O-, S-, or N-alkenyl; O-, S- or N-alkynyl; or O-alkyl-O-alkyl, wherein the alkyl, alkenyl and alkynyl may be substituted or unsubstituted C<sub>1</sub> to C<sub>10</sub> alkyl or C<sub>2</sub> to C<sub>10</sub> alkenyl and alkynyl. Particularly preferred are O[(CH<sub>2</sub>)<sub>n</sub>O]<sub>m</sub>CH<sub>3</sub>, O(CH<sub>2</sub>)<sub>n</sub>OCH<sub>3</sub>, O(CH<sub>2</sub>)<sub>n</sub>NH<sub>2</sub>, O(CH<sub>2</sub>)<sub>n</sub>CH<sub>3</sub>, O(CH<sub>2</sub>)<sub>n</sub>ONH<sub>2</sub>, and O(CH<sub>2</sub>)<sub>n</sub>ON[(CH<sub>2</sub>)<sub>n</sub>CH<sub>3</sub>]<sub>2</sub>, where n and m are from 1 to about 10. Other preferred oligonucleotides comprise one of the following at the 2' position: C<sub>1</sub> to C<sub>10</sub> lower alkyl, substituted lower alkyl, alkenyl, alkynyl, alkaryl, aralkyl, O-alkaryl or O-aralkyl, SH, SCH<sub>3</sub>, OCN, Cl, Br, CN, CF<sub>3</sub>, OCF<sub>3</sub>, SOCH<sub>3</sub>, SO<sub>2</sub>CH<sub>3</sub>, ONO<sub>2</sub>, NO<sub>2</sub>, N<sub>3</sub>, NH<sub>2</sub>, heterocycloalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, an RNA cleaving group, a reporter group, an intercalator, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group for improving the pharmacodynamic properties of an oligonucleotide, and other substituents having similar properties. A preferred modification includes 2'-methoxyethoxy (2'-O-CH<sub>2</sub>CH<sub>2</sub>OCH<sub>3</sub>, also known as 2'-O-(2-methoxyethyl) or 2'-MOE) (Martin et al., *Helv. Chim. Acta*, **1995**, 78, 486-504) i.e., an alkoxyalkoxy group. A further preferred modification includes 2'-dimethylaminoethoxy, i.e., a O(CH<sub>2</sub>)<sub>2</sub>ON(CH<sub>3</sub>)<sub>2</sub> group, also



known as 2'-DMAOE, as described in examples hereinbelow, and 2'-dimethylaminoethoxyethoxy (also known in the art as 2'-O-dimethyl-amino-ethoxy-ethyl or 2'-DMAEOE), i.e., 2'-O-CH<sub>2</sub>-O-CH<sub>2</sub>-N(CH<sub>3</sub>)<sub>2</sub>, also described in examples hereinbelow.

**[0076]** Other preferred modifications include 2'-methoxy (2'-O-CH<sub>3</sub>), 2'-aminopropoxy (2'-OCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>NH<sub>2</sub>), 2'-allyl (2'-CH<sub>2</sub>-CH=CH<sub>2</sub>), 2'-O-allyl (2'-O-CH<sub>2</sub>-CH=CH<sub>2</sub>) and 2'-fluoro (2'-F). The 2'-modification may be in the arabino (up) position or ribo (down) position. A preferred 2'-arabino modification is 2'-F. Similar modifications may also be made at other positions on the oligonucleotide, particularly the 3' position of the sugar on the 3' terminal nucleotide or in 2'-5' linked oligonucleotides and the 5' position of 5' terminal nucleotide. Antisense compounds may also have sugar mimetics such as cyclobutyl moieties in place of the pentofuranosyl sugar. Representative United States patents that teach the preparation of such modified sugar structures include, but are not limited to, U.S.: 4,981,957; 5,118,800; 5,319,080; 5,359,044; 5,393,878; 5,446,137; 5,466,786; 5,514,785; 5,519,134; 5,567,811; 5,576,427; 5,591,722; 5,597,909; 5,610,300; 5,627,053; 5,639,873; 5,646,265; 5,658,873; 5,670,633; 5,792,747; and 5,700,920, certain of which are commonly owned with the instant application, and each of which is herein incorporated by reference in its entirety.

**[0077]** A further preferred modification of the sugar includes Locked Nucleic Acids (LNAs) in which the 2'-hydroxyl group is linked to the 3' or 4' carbon atom of the sugar ring, thereby forming a bicyclic sugar moiety. The linkage is preferably a methylene (-CH<sub>2</sub>-)<sub>n</sub> group bridging the 2' oxygen atom and the 4' carbon atom wherein n is 1 or 2. LNAs and preparation thereof are described in WO 98/39352 and WO 99/14226.

Natural and Modified Nucleobases

[0078] Antisense compounds may also include nucleobase (often referred to in the art as heterocyclic base or simply as "base") modifications or substitutions. As used herein, "unmodified" or "natural" nucleobases include the purine bases adenine (A) and guanine (G), and the pyrimidine bases thymine (T), cytosine (C) and uracil (U). Modified nucleobases include other synthetic and natural nucleobases such as 5-methylcytosine (5-me-C), 5-hydroxymethyl cytosine, xanthine, hypoxanthine, 2-aminoadenine, 6-methyl and other alkyl derivatives of adenine and guanine, 2-propyl and other alkyl derivatives of adenine and guanine, 2-thiouracil, 2-thiothymine and 2-thiocytosine, 5-halouracil and cytosine, 5-propynyl ( $-C\equiv C-CH_3$ ) uracil and cytosine and other alkynyl derivatives of pyrimidine bases, 6-azo uracil, cytosine and thymine, 5-uracil (pseudouracil), 4-thiouracil, 8-halo, 8-amino, 8-thiol, 8-thioalkyl, 8-hydroxyl and other 8-substituted adenines and guanines, 5-halo particularly 5-bromo, 5-trifluoromethyl and other 5-substituted uracils and cytosines, 7-methylguanine and 7-methyladenine, 2-F-adenine, 2-amino-adenine, 8-azaguanine and 8-azaadenine, 7-deazaguanine and 7-deazaadenine and 3-deazaguanine and 3-deazaadenine. Further modified nucleobases include tricyclic pyrimidines such as phenoxazine cytidine(1H-pyrimido[5,4-b][1,4]benzoxazin-2(3H)-one), phenothiazine cytidine (1H-pyrimido[5,4-b][1,4]benzothiazin-2(3H)-one), G-clamps such as a substituted phenoxazine cytidine (e.g. 9-(2-aminoethoxy)-H-pyrimido[5,4-b][1,4]benzoxazin-2(3H)-one), carbazole cytidine (2H-pyrimido[4,5-b]indol-2-one), pyridoindole cytidine (H-pyrido[3',2':4,5]pyrrolo[2,3-d]pyrimidin-2-one). Modified nucleobases may also include those in which the purine or pyrimidine base is replaced

with other heterocycles, for example 7-deaza-adenine, 7-deazaguanosine, 2-aminopyridine and 2-pyridone. Further nucleobases include those disclosed in United States Patent No. 3,687,808, those disclosed in *The Concise Encyclopedia Of Polymer Science And Engineering*, pages 858-859, Kroschwitz, J.I., ed. John Wiley & Sons, **1990**, those disclosed by Englisch et al., *Angewandte Chemie*, International Edition, **1991**, 30, 613, and those disclosed by Sanghvi, Y.S., Chapter 15, *Antisense Research and Applications*, pages 289-302, Crooke, S.T. and Lebleu, B. , ed., CRC Press, **1993**. Certain of these nucleobases are particularly useful for increasing the binding affinity of the compounds of the invention. These include 5-substituted pyrimidines, 6-azapyrimidines and N-2, N-6 and O-6 substituted purines, including 2-aminopropyladenine, 5-propynyluracil and 5-propynylcytosine. 5-methylcytosine substitutions have been shown to increase nucleic acid duplex stability by 0.6-1.2 °C and are presently preferred base substitutions, even more particularly when combined with 2'-O-methoxyethyl sugar modifications.

**[0079]** Representative United States patents that teach the preparation of certain of the above noted modified nucleobases as well as other modified nucleobases include, but are not limited to, the above noted U.S. 3,687,808, as well as U.S.: 4,845,205; 5,130,302; 5,134,066; 5,175,273; 5,367,066; 5,432,272; 5,457,187; 5,459,255; 5,484,908; 5,502,177; 5,525,711; 5,552,540; 5,587,469; 5,594,121, 5,596,091; 5,614,617; 5,645,985; 5,830,653; 5,763,588; 6,005,096; and 5,681,941, certain of which are commonly owned with the instant application, and each of which is herein incorporated by reference, and United States patent 5,750,692, which is commonly owned with the instant application and also herein incorporated by reference.

Conjugates

[0080] Another modification of the antisense compounds of the invention involves chemically linking to the antisense compound one or more moieties or conjugates which enhance the activity, cellular distribution or cellular uptake of the oligonucleotide. These moieties or conjugates can include conjugate groups covalently bound to functional groups such as primary or secondary hydroxyl groups. Conjugate groups of the invention include intercalators, reporter molecules, polyamines, polyamides, polyethylene glycols, polyethers, groups that enhance the pharmacodynamic properties of oligomers, and groups that enhance the pharmacokinetic properties of oligomers. Typical conjugate groups include cholesterol, lipids, phospholipids, biotin, phenazine, folate, phenanthridine, anthraquinone, acridine, fluoresceins, rhodamines, coumarins, and dyes. Groups that enhance the pharmacodynamic properties, in the context of this invention, include groups that improve uptake, enhance resistance to degradation, and/or strengthen sequence-specific hybridization with the target nucleic acid. Groups that enhance the pharmacokinetic properties, in the context of this invention, include groups that improve uptake, distribution, metabolism or excretion of the compounds of the present invention. Representative conjugate groups are disclosed in International Patent Application PCT/US92/09196, filed October 23, 1992, and U.S. Patent 6,287,860, the entire disclosure of which are incorporated herein by reference. Conjugate moieties include but are not limited to lipid moieties such as a cholesterol moiety, cholic acid, a thioether, e.g., hexyl-S-tritylthiol, a thiocholesterol, an aliphatic chain, e.g., dodecandiol or undecyl residues, a phospholipid, e.g., di-hexadecyl-rac-

glycerol or triethylammonium 1,2-di-O-hexadecyl-rac-glycero-3-H-phosphonate, a polyamine or a polyethylene glycol chain, or adamantane acetic acid, a palmityl moiety, or an octadecylamine or hexylamino-carbonyl-oxycholesterol moiety. Antisense compounds of the invention may also be conjugated to active drug substances, for example, aspirin, warfarin, phenylbutazone, ibuprofen, suprofen, fenbufen, ketoprofen, (S)-(+)-pranoprofen, carprofen, dansylsarcosine, 2,3,5-triiodobenzoic acid, flufenamic acid, folinic acid, a benzothiadiazide, chlorothiazide, a diazepine, indomethicin, a barbiturate, a cephalosporin, a sulfa drug, an antidiabetic, an antibacterial or an antibiotic. Oligonucleotide-drug conjugates and their preparation are described in United States Patent Application 09/334,130 (filed June 15, 1999) which is incorporated herein by reference in its entirety.

**[0081]** Representative United States patents that teach the preparation of such oligonucleotide conjugates include, but are not limited to, U.S.: 4,828,979; 4,948,882; 5,218,105; 5,525,465; 5,541,313; 5,545,730; 5,552,538; 5,578,717; 5,580,731; 5,580,731; 5,591,584; 5,109,124; 5,118,802; 5,138,045; 5,414,077; 5,486,603; 5,512,439; 5,578,718; 5,608,046; 4,587,044; 4,605,735; 4,667,025; 4,762,779; 4,789,737; 4,824,941; 4,835,263; 4,876,335; 4,904,582; 4,958,013; 5,082,830; 5,112,963; 5,214,136; 5,082,830; 5,112,963; 5,214,136; 5,245,022; 5,254,469; 5,258,506; 5,262,536; 5,272,250; 5,292,873; 5,317,098; 5,371,241; 5,391,723; 5,416,203; 5,451,463; 5,510,475; 5,512,667; 5,514,785; 5,565,552; 5,567,810; 5,574,142; 5,585,481; 5,587,371; 5,595,726; 5,597,696; 5,599,923; 5,599,928 and 5,688,941, certain of which are commonly owned with the instant application, and each of which is herein incorporated by reference.

Chimeric compounds

**[0082]** It is not necessary for all positions in a given compound to be uniformly modified, and in fact more than one of the aforementioned modifications may be incorporated in a single compound or even at a single nucleoside within an oligonucleotide.

**[0083]** The present invention also includes antisense compounds which are chimeric compounds. "Chimeric" antisense compounds or "chimeras," in the context of this invention, are antisense compounds, particularly oligonucleotides, which contain two or more chemically distinct regions, each made up of at least one monomer unit, i.e., a nucleotide in the case of an oligonucleotide compound. Chimeric antisense oligonucleotides are thus a form of antisense compound. These oligonucleotides typically contain at least one region wherein the oligonucleotide is modified so as to confer upon the oligonucleotide increased resistance to nuclease degradation, increased cellular uptake, increased stability and/or increased binding affinity for the target nucleic acid. An additional region of the oligonucleotide may serve as a substrate for enzymes capable of cleaving RNA:DNA or RNA:RNA hybrids. By way of example, RNase H is a cellular endonuclease which cleaves the RNA strand of an RNA:DNA duplex. Activation of RNase H, therefore, results in cleavage of the RNA target, thereby greatly enhancing the efficiency of oligonucleotide-mediated inhibition of gene expression. The cleavage of RNA:RNA hybrids can, in like fashion, be accomplished through the actions of endoribonucleases, such as RNaseL which cleaves both cellular and viral RNA. Cleavage of the RNA target can be routinely detected by gel electrophoresis and, if necessary,

associated nucleic acid hybridization techniques known in the art.

**[0084]** Chimeric antisense compounds of the invention may be formed as composite structures of two or more oligonucleotides, modified oligonucleotides, oligonucleosides and/or oligonucleotide mimetics as described above. Such compounds have also been referred to in the art as hybrids or gapmers. Representative United States patents that teach the preparation of such hybrid structures include, but are not limited to, U.S.: 5,013,830; 5,149,797; 5,220,007; 5,256,775; 5,366,878; 5,403,711; 5,491,133; 5,565,350; 5,623,065; 5,652,355; 5,652,356; and 5,700,922, certain of which are commonly owned with the instant application, and each of which is herein incorporated by reference in its entirety.

#### **G. Formulations**

**[0085]** The compounds of the invention may also be admixed, encapsulated, conjugated or otherwise associated with other molecules, molecule structures or mixtures of compounds, as for example, liposomes, receptor-targeted molecules, oral, rectal, topical or other formulations, for assisting in uptake, distribution and/or absorption. Representative United States patents that teach the preparation of such uptake, distribution and/or absorption-assisting formulations include, but are not limited to, U.S.: 5,108,921; 5,354,844; 5,416,016; 5,459,127; 5,521,291; 5,543,158; 5,547,932; 5,583,020; 5,591,721; 4,426,330; 4,534,899; 5,013,556; 5,108,921; 5,213,804; 5,227,170; 5,264,221; 5,356,633; 5,395,619; 5,416,016; 5,417,978; 5,462,854; 5,469,854; 5,512,295; 5,527,528; 5,534,259; 5,543,152; 5,556,948; 5,580,575; and 5,595,756, each of which is herein incorporated by reference.

[0086] The antisense compounds of the invention encompass any pharmaceutically acceptable salts, esters, or salts of such esters, or any other compound which, upon administration to an animal, including a human, is capable of providing (directly or indirectly) the biologically active metabolite or residue thereof.

[0087] The term "pharmaceutically acceptable salts" refers to physiologically and pharmaceutically acceptable salts of the compounds of the invention: i.e., salts that retain the desired biological activity of the parent compound and do not impart undesired toxicological effects thereto. For oligonucleotides, preferred examples of pharmaceutically acceptable salts and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

[0088] The present invention also includes pharmaceutical compositions and formulations which include the antisense compounds of the invention. The pharmaceutical compositions of the present invention may be administered in a number of ways depending upon whether local or systemic treatment is desired and upon the area to be treated. Administration may be topical (including ophthalmic and to mucous membranes including vaginal and rectal delivery), pulmonary, e.g., by inhalation or insufflation of powders or aerosols, including by nebulizer; intratracheal, intranasal, epidermal and transdermal), oral or parenteral. Parenteral administration includes intravenous, intraarterial, subcutaneous, intraperitoneal or intramuscular injection or infusion; or intracranial, e.g., intrathecal or intraventricular, administration. Oligonucleotides with at least one 2'-O-methoxyethyl modification are believed to be particularly useful for oral administration. Pharmaceutical compositions and formulations for topical administration may include



transdermal patches, ointments, lotions, creams, gels, drops, suppositories, sprays, liquids and powders. Conventional pharmaceutical carriers, aqueous, powder or oily bases, thickeners and the like may be necessary or desirable. Coated condoms, gloves and the like may also be useful.

**[0089]** The pharmaceutical formulations of the present invention, which may conveniently be presented in unit dosage form, may be prepared according to conventional techniques well known in the pharmaceutical industry. Such techniques include the step of bringing into association the active ingredients with the pharmaceutical carrier(s) or excipient(s). In general, the formulations are prepared by uniformly and intimately bringing into association the active ingredients with liquid carriers or finely divided solid carriers or both, and then, if necessary, shaping the product.

**[0090]** The compositions of the present invention may be formulated into any of many possible dosage forms such as, but not limited to, tablets, capsules, gel capsules, liquid syrups, soft gels, suppositories, and enemas. The compositions of the present invention may also be formulated as suspensions in aqueous, non-aqueous or mixed media. Aqueous suspensions may further contain substances which increase the viscosity of the suspension including, for example, sodium carboxymethylcellulose, sorbitol and/or dextran. The suspension may also contain stabilizers.

**[0091]** Pharmaceutical compositions of the present invention include, but are not limited to, solutions, emulsions, foams and liposome-containing formulations. The pharmaceutical compositions and formulations of the present invention may comprise one or more penetration enhancers,

carriers, excipients or other active or inactive ingredients.

**[0092]** Emulsions are typically heterogenous systems of one liquid dispersed in another in the form of droplets usually exceeding 0.1  $\mu\text{m}$  in diameter. Emulsions may contain additional components in addition to the dispersed phases, and the active drug which may be present as a solution in either the aqueous phase, oily phase or itself as a separate phase. Microemulsions are included as an embodiment of the present invention. Emulsions and their uses are well known in the art and are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

**[0093]** Formulations of the present invention include liposomal formulations. As used in the present invention, the term "liposome" means a vesicle composed of amphiphilic lipids arranged in a spherical bilayer or bilayers. Liposomes are unilamellar or multilamellar vesicles which have a membrane formed from a lipophilic material and an aqueous interior that contains the composition to be delivered. Cationic liposomes are positively charged liposomes which are believed to interact with negatively charged DNA molecules to form a stable complex. Liposomes that are pH-sensitive or negatively-charged are believed to entrap DNA rather than complex with it. Both cationic and noncationic liposomes have been used to deliver DNA to cells.

**[0094]** Liposomes also include "sterically stabilized" liposomes, a term which, as used herein, refers to liposomes comprising one or more specialized lipids that, when incorporated into liposomes, result in enhanced circulation lifetimes relative to liposomes lacking such specialized lipids. Examples of sterically stabilized liposomes are those in which part of the vesicle-forming lipid portion of

the liposome comprises one or more glycolipids or is derivatized with one or more hydrophilic polymers, such as a polyethylene glycol (PEG) moiety. Liposomes and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

**[0095]** The pharmaceutical formulations and compositions of the present invention may also include surfactants. The use of surfactants in drug products, formulations and in emulsions is well known in the art. Surfactants and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

**[0096]** In one embodiment, the present invention employs various penetration enhancers to effect the efficient delivery of nucleic acids, particularly oligonucleotides. In addition to aiding the diffusion of non-lipophilic drugs across cell membranes, penetration enhancers also enhance the permeability of lipophilic drugs. Penetration enhancers may be classified as belonging to one of five broad categories, i.e., surfactants, fatty acids, bile salts, chelating agents, and non-chelating non-surfactants. Penetration enhancers and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety.

**[0097]** One of skill in the art will recognize that formulations are routinely designed according to their intended use, i.e. route of administration.

**[0098]** Preferred formulations for topical administration include those in which the oligonucleotides of the invention are in admixture with a topical delivery agent such as lipids, liposomes, fatty acids, fatty acid esters, steroids, chelating agents and surfactants. Preferred lipids and liposomes include neutral (e.g. dioleoylphosphatidyl DOPE ethanolamine, dimyristoylphosphatidyl choline DMPC,

distearolyphosphatidyl choline) negative (e.g. dimyristoylphosphatidyl glycerol DMPG) and cationic (e.g. dioleoyltetramethylaminopropyl DOTAP and dioleoylphosphatidyl ethanolamine DOTMA).

**[0099]** For topical or other administration, oligonucleotides of the invention may be encapsulated within liposomes or may form complexes thereto, in particular to cationic liposomes. Alternatively, oligonucleotides may be complexed to lipids, in particular to cationic lipids. Preferred fatty acids and esters, pharmaceutically acceptable salts thereof, and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety. Topical formulations are described in detail in United States patent application 09/315,298 filed on May 20, 1999, which is incorporated herein by reference in its entirety.

**[0100]** Compositions and formulations for oral administration include powders or granules, microparticulates, nanoparticulates, suspensions or solutions in water or non-aqueous media, capsules, gel capsules, sachets, tablets or minitables. Thickeners, flavoring agents, diluents, emulsifiers, dispersing aids or binders may be desirable. Preferred oral formulations are those in which oligonucleotides of the invention are administered in conjunction with one or more penetration enhancers surfactants and chelators. Preferred surfactants include fatty acids and/or esters or salts thereof, bile acids and/or salts thereof. Preferred bile acids/salts and fatty acids and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety. Also preferred are combinations of penetration enhancers, for example, fatty acids/salts in combination with bile acids/salts. A particularly preferred combination

is the sodium salt of lauric acid, capric acid and UDCA. Further penetration enhancers include polyoxyethylene-9-lauryl ether, polyoxyethylene-20-cetyl ether. Oligonucleotides of the invention may be delivered orally, in granular form including sprayed dried particles, or complexed to form micro or nanoparticles. Oligonucleotide complexing agents and their uses are further described in U.S. Patent 6,287,860, which is incorporated herein in its entirety. Oral formulations for oligonucleotides and their preparation are described in detail in United States applications 09/108,673 (filed July 1, 1998), 09/315,298 (filed May 20, 1999) and 10/071,822, filed February 8, 2002, each of which is incorporated herein by reference in their entirety.

**[0101]** Compositions and formulations for parenteral, intrathecal or intraventricular administration may include sterile aqueous solutions which may also contain buffers, diluents and other suitable additives such as, but not limited to, penetration enhancers, carrier compounds and other pharmaceutically acceptable carriers or excipients.

**[0102]** Certain embodiments of the invention provide pharmaceutical compositions containing one or more oligomeric compounds and one or more other chemotherapeutic agents which function by a non-antisense mechanism. Examples of such chemotherapeutic agents include but are not limited to cancer chemotherapeutic drugs such as daunorubicin, daunomycin, dactinomycin, doxorubicin, epirubicin, idarubicin, esorubicin, bleomycin, mafosfamide, ifosfamide, cytosine arabinoside, bis-chloroethylnitrosurea, busulfan, mitomycin C, actinomycin D, mithramycin, prednisone, hydroxyprogesterone, testosterone, tamoxifen, dacarbazine, procarbazine, hexamethylmelamine, pentamethylmelamine, mitoxantrone, amsacrine, chlorambucil,

methylcyclohexylnitrosurea, nitrogen mustards, melphalan, cyclophosphamide, 6-mercaptopurine, 6-thioguanine, cytarabine, 5-azacytidine, hydroxyurea, deoxycoformycin, 4-hydroxyperoxycyclophosphoramidate, 5-fluorouracil (5-FU), 5-fluorodeoxyuridine (5-FUdR), methotrexate (MTX), colchicine, taxol, vincristine, vinblastine, etoposide (VP-16), trimetrexate, irinotecan, topotecan, gemcitabine, teniposide, cisplatin and diethylstilbestrol (DES). When used with the compounds of the invention, such chemotherapeutic agents may be used individually (e.g., 5-FU and oligonucleotide), sequentially (e.g., 5-FU and oligonucleotide for a period of time followed by MTX and oligonucleotide), or in combination with one or more other such chemotherapeutic agents (e.g., 5-FU, MTX and oligonucleotide, or 5-FU, radiotherapy and oligonucleotide). Anti-inflammatory drugs, including but not limited to nonsteroidal anti-inflammatory drugs and corticosteroids, and antiviral drugs, including but not limited to ribivirin, vidarabine, acyclovir and ganciclovir, may also be combined in compositions of the invention. Combinations of antisense compounds and other non-antisense drugs are also within the scope of this invention. Two or more combined compounds may be used together or sequentially.

**[0103]** In another related embodiment, compositions of the invention may contain one or more antisense compounds, particularly oligonucleotides, targeted to a first nucleic acid and one or more additional antisense compounds targeted to a second nucleic acid target. Alternatively, compositions of the invention may contain two or more antisense compounds targeted to different regions of the same nucleic acid target. Numerous examples of antisense compounds are known in the art. Two or more combined compounds may be used together or sequentially.

## H. Dosing

[0104] The formulation of therapeutic compositions and their subsequent administration (dosing) is believed to be within the skill of those in the art. Dosing is dependent on severity and responsiveness of the disease state to be treated, with the course of treatment lasting from several days to several months, or until a cure is effected or a diminution of the disease state is achieved. Optimal dosing schedules can be calculated from measurements of drug accumulation in the body of the patient. Persons of ordinary skill can easily determine optimum dosages, dosing methodologies and repetition rates. Optimum dosages may vary depending on the relative potency of individual oligonucleotides, and can generally be estimated based on  $EC_{50}$ s found to be effective in *in vitro* and *in vivo* animal models. In general, dosage is from 0.01 ug to 100 g per kg of body weight, and may be given once or more daily, weekly, monthly or yearly, or even once every 2 to 20 years. Persons of ordinary skill in the art can easily estimate repetition rates for dosing based on measured residence times and concentrations of the drug in bodily fluids or tissues. Following successful treatment, it may be desirable to have the patient undergo maintenance therapy to prevent the recurrence of the disease state, wherein the oligonucleotide is administered in maintenance doses, ranging from 0.01 ug to 100 g per kg of body weight, once or more daily, to once every 20 years.

[0105] While the present invention has been described with specificity in accordance with certain of its preferred embodiments, the following examples serve only to illustrate the invention and are not intended to limit the same. Each of the references, GenBank accession numbers, and the like

**ISIS-5315 (ISIS2960US.P2)**

**PATENT**

recited in the present application is incorporated herein by reference in its entirety.



**Examples****Example 1****Synthesis of Nucleoside Phosphoramidites**

[0106] The following compounds, including amidites and their intermediates were prepared as described in US Patent 6,426,220 and published as WO 02/36743; 5'-O-Dimethoxytrityl-thymidine intermediate for 5-methyl dC amidite, 5'-O-Dimethoxytrityl-2'-deoxy-5-methylcytidine intermediate for 5-methyl-dC amidite, 5'-O-Dimethoxytrityl-2'-deoxy-N<sup>4</sup>-benzoyl-5-methylcytidine penultimate intermediate for 5-methyl dC amidite, [5'-O-(4,4'-Dimethoxytriphenylmethyl)-2'-deoxy-N<sup>4</sup>-benzoyl-5-methylcytidin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (5-methyl dC amidite), 2'-Fluorodeoxyadenosine, 2'-Fluorodeoxyguanosine, 2'-Fluorouridine, 2'-Fluorodeoxycytidine, 2'-O-(2-Methoxyethyl) modified amidites, 2'-O-(2-methoxyethyl)-5-methyluridine intermediate, 5'-O-DMT-2'-O-(2-methoxyethyl)-5-methyluridine penultimate intermediate, [5'-O-(4,4'-Dimethoxytriphenylmethyl)-2'-O-(2-methoxyethyl)-5-methyluridin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (MOE T amidite), 5'-O-Dimethoxytrityl-2'-O-(2-methoxyethyl)-5-methylcytidine intermediate, 5'-O-dimethoxytrityl-2'-O-(2-methoxyethyl)-N<sup>4</sup>-benzoyl-5-methyl-cytidine penultimate intermediate, [5'-O-(4,4'-Dimethoxytriphenylmethyl)-2'-O-(2-methoxyethyl)-N<sup>4</sup>-benzoyl-5-methylcytidin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (MOE 5-Me-C amidite), [5'-O-(4,4'-Dimethoxytriphenylmethyl)-2'-O-(2-methoxyethyl)-N<sup>6</sup>-benzoyladenoin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (MOE A amidite), [5'-O-(4,4'-

Dimethoxytriphenylmethyl)-2'-O-(2-methoxyethyl)-N<sup>4</sup>-isobutyrylguanosin-3'-O-yl]-2-cyanoethyl-N,N-diisopropylphosphoramidite (MOE G amidite), 2'-O-(Aminooxyethyl) nucleoside amidites and 2'-O-(dimethylamino-oxyethyl) nucleoside amidites, 2'-(Dimethylaminooxyethoxy) nucleoside amidites, 5'-O-tert-Butyldiphenylsilyl-O<sup>2</sup>-2'-anhydro-5-methyluridine, 5'-O-tert-Butyldiphenylsilyl-2'-O-(2-hydroxyethyl)-5-methyluridine, 2'-O-([2-phthalimidoxy)ethyl]-5'-t-butyldiphenylsilyl-5-methyluridine, 5'-O-tert-butyldiphenylsilyl-2'-O-[(2-formadoximinooxy)ethyl]-5-methyluridine, 5'-O-tert-Butyldiphenylsilyl-2'-O-[N,N dimethylaminooxyethyl]-5-methyluridine, 2'-O-(dimethylaminooxyethyl)-5-methyluridine, 5'-O-DMT-2'-O-(dimethylaminooxyethyl)-5-methyluridine, 5'-O-DMT-2'-O-(2-N,N-dimethylaminooxyethyl)-5-methyluridine-3'-[(2-cyanoethyl)-N,N-diisopropylphosphoramidite], 2'-(Aminooxyethoxy) nucleoside amidites, N<sup>2</sup>-isobutyryl-6-O-diphenylcarbamoyl-2'-O-(2-ethylacetyl)-5'-O-(4,4'-dimethoxytrityl)guanosine-3'-[(2-cyanoethyl)-N,N-diisopropylphosphoramidite], 2'-dimethylaminoethoxyethoxy (2'-DMAEOE) nucleoside amidites, 2'-O-[2(2-N,N-dimethylaminoethoxy)ethyl]-5-methyl uridine, 5'-O-dimethoxytrityl-2'-O-[2(2-N,N-dimethylaminoethoxy)-ethyl]]-5-methyl uridine and 5'-O-Dimethoxytrityl-2'-O-[2(2-N,N-dimethylaminoethoxy)-ethyl]]-5-methyl uridine-3'-O-(cyanoethyl-N,N-diisopropyl)phosphoramidite.

## Example 2

### Oligonucleotide and oligonucleoside synthesis

[0107] The antisense compounds used in accordance with this invention may be conveniently and routinely made through the well-known technique of solid phase synthesis. Equipment for such synthesis is sold by several vendors

including, for example, Applied Biosystems (Foster City, CA). Any other means for such synthesis known in the art may additionally or alternatively be employed. It is well known to use similar techniques to prepare oligonucleotides such as the phosphorothioates and alkylated derivatives.

**[0108]** Oligonucleotides: Unsubstituted and substituted phosphodiester (P=O) oligonucleotides are synthesized on an automated DNA synthesizer (Applied Biosystems model 394) using standard phosphoramidite chemistry with oxidation by iodine.

**[0109]** Phosphorothioates (P=S) are synthesized similar to phosphodiester oligonucleotides with the following exceptions: thiation was effected by utilizing a 10% w/v solution of 3,4-dihydro-2H-benzodithiole-3-one 1,1-dioxide in acetonitrile for the oxidation of the phosphite linkages. The thiation reaction step time was increased to 180 sec and preceded by the normal capping step. After cleavage from the CPG column and deblocking in concentrated ammonium hydroxide at 55°C (12-16 hr), the oligonucleotides were recovered by precipitating with >3 volumes of ethanol from a 1 M NH<sub>4</sub>OAc solution. Phosphinate oligonucleotides are prepared as described in U.S. Patent 5,508,270, herein incorporated by reference.

**[0110]** Alkyl phosphonate oligonucleotides are prepared as described in U.S. Patent 4,469,863, herein incorporated by reference.

**[0111]** 3'-Deoxy-3'-methylene phosphonate oligonucleotides are prepared as described in U.S. Patents 5,610,289 or 5,625,050, herein incorporated by reference.

**[0112]** Phosphoramidite oligonucleotides are prepared as described in U.S. Patent, 5,256,775 or U.S. Patent 5,366,878, herein incorporated by reference.

[0113] Alkylphosphonothioate oligonucleotides are prepared as described in published PCT applications PCT/US94/00902 and PCT/US93/06976 (published as WO 94/17093 and WO 94/02499, respectively), herein incorporated by reference.

[0114] 3'-Deoxy-3'-amino phosphoramidate oligonucleotides are prepared as described in U.S. Patent 5,476,925, herein incorporated by reference.

[0115] Phosphotriester oligonucleotides are prepared as described in U.S. Patent 5,023,243, herein incorporated by reference.

[0116] Borano phosphate oligonucleotides are prepared as described in U.S. Patents 5,130,302 and 5,177,198, both herein incorporated by reference.

[0117] Oligonucleosides: Methylenemethylimino linked oligonucleosides, also identified as MMI linked oligonucleosides, methylenedimethylhydrazo linked oligonucleosides, also identified as MDH linked oligonucleosides, and methylenecarbonylamino linked oligonucleosides, also identified as amide-3 linked oligonucleosides, and methyleneaminocarbonyl linked oligonucleosides, also identified as amide-4 linked oligonucleosides, as well as mixed backbone compounds having, for instance, alternating MMI and P=O or P=S linkages are prepared as described in U.S. Patents 5,378,825, 5,386,023, 5,489,677, 5,602,240 and 5,610,289, all of which are herein incorporated by reference.

[0118] Formacetal and thioformacetal linked oligonucleosides are prepared as described in U.S. Patents 5,264,562 and 5,264,564, herein incorporated by reference.

[0119] Ethylene oxide linked oligonucleosides are prepared as described in U.S. Patent 5,223,618, herein

incorporated by reference.

### Example 3

#### RNA Synthesis

[0120] In general, RNA synthesis chemistry is based on the selective incorporation of various protecting groups at strategic intermediary reactions. Although one of ordinary skill in the art will understand the use of protecting groups in organic synthesis, a useful class of protecting groups includes silyl ethers. In particular bulky silyl ethers are used to protect the 5'-hydroxyl in combination with an acid-labile orthoester protecting group on the 2'-hydroxyl. This set of protecting groups is then used with standard solid-phase synthesis technology. It is important to lastly remove the acid labile orthoester protecting group after all other synthetic steps. Moreover, the early use of the silyl protecting groups during synthesis ensures facile removal when desired, without undesired deprotection of 2' hydroxyl.

[0121] Following this procedure for the sequential protection of the 5'-hydroxyl in combination with protection of the 2'-hydroxyl by protecting groups that are differentially removed and are differentially chemically labile, RNA oligonucleotides were synthesized.

[0122] RNA oligonucleotides are synthesized in a stepwise fashion. Each nucleotide is added sequentially (3'- to 5'-direction) to a solid support-bound oligonucleotide. The first nucleoside at the 3'-end of the chain is covalently attached to a solid support. The nucleotide precursor, a ribonucleoside phosphoramidite, and activator are added, coupling the second base onto the 5'-end of the first nucleoside. The support is washed and any unreacted 5'-hydroxyl groups are capped with acetic anhydride to yield

5'-acetyl moieties. The linkage is then oxidized to the more stable and ultimately desired P(V) linkage. At the end of the nucleotide addition cycle, the 5'-silyl group is cleaved with fluoride. The cycle is repeated for each subsequent nucleotide.

**[0123]** Following synthesis, the methyl protecting groups on the phosphates are cleaved in 30 minutes utilizing 1 M disodium-2-carbamoyl-2-cyanoethylene-1,1-dithiolate trihydrate ( $S_2Na_2$ ) in DMF. The deprotection solution is washed from the solid support-bound oligonucleotide using water. The support is then treated with 40% methylamine in water for 10 minutes at 55 °C. This releases the RNA oligonucleotides into solution, deprotects the exocyclic amines, and modifies the 2'- groups. The oligonucleotides can be analyzed by anion exchange HPLC at this stage.

**[0124]** The 2'-orthoester groups are the last protecting groups to be removed. The ethylene glycol monoacetate orthoester protecting group developed by Dharmacon Research, Inc. (Lafayette, CO), is one example of a useful orthoester protecting group which, has the following important properties. It is stable to the conditions of nucleoside phosphoramidite synthesis and oligonucleotide synthesis. However, after oligonucleotide synthesis the oligonucleotide is treated with methylamine which not only cleaves the oligonucleotide from the solid support but also removes the acetyl groups from the orthoesters. The resulting 2-ethyl-hydroxyl substituents on the orthoester are less electron withdrawing than the acetylated precursor. As a result, the modified orthoester becomes more labile to acid-catalyzed hydrolysis. Specifically, the rate of cleavage is approximately 10 times faster after the acetyl groups are removed. Therefore, this orthoester possesses sufficient stability in order to be compatible with oligonucleotide

synthesis and yet, when subsequently modified, permits deprotection to be carried out under relatively mild aqueous conditions compatible with the final RNA oligonucleotide product.

**[0125]** Additionally, methods of RNA synthesis are well known in the art (Scaringe, S. A. Ph.D. Thesis, University of Colorado, 1996; Scaringe, S. A., et al., *J. Am. Chem. Soc.*, **1998**, 120, 11820-11821; Matteucci, M. D. and Caruthers, M. H. *J. Am. Chem. Soc.*, **1981**, 103, 3185-3191; Beaucage, S. L. and Caruthers, M. H. *Tetrahedron Lett.*, **1981**, 22, 1859-1862; Dahl, B. J., et al., *Acta Chem. Scand.*, **1990**, 44, 639-641; Reddy, M. P., et al., *Tetrahedron Lett.*, **1994**, 25, 4311-4314; Wincott, F. et al., *Nucleic Acids Res.*, **1995**, 23, 2677-2684; Griffin, B. E., et al., *Tetrahedron*, **1967**, 23, 2301-2313; Griffin, B. E., et al., *Tetrahedron*, **1967**, 23, 2315-2331).

**[0126]** RNA antisense compounds (RNA oligonucleotides) of the present invention can be synthesized by the methods herein or purchased from Dharmacon Research, Inc (Lafayette, CO). Once synthesized, complementary RNA antisense compounds can then be annealed by methods known in the art to form double stranded (duplexed) antisense compounds. For example, duplexes can be formed by combining 30  $\mu$ l of each of the complementary strands of RNA oligonucleotides (50  $\mu$ M RNA oligonucleotide solution) and 15  $\mu$ l of 5X annealing buffer (100 mM potassium acetate, 30 mM HEPES-KOH pH 7.4, 2 mM magnesium acetate) followed by heating for 1 minute at 90°C, then 1 hour at 37°C. The resulting duplexed antisense compounds can be used in kits, assays, screens, or other methods to investigate the role of a target nucleic acid, or for diagnostic or therapeutic purposes.

**Example 4****Synthesis of Chimeric Compounds**

[0127] Chimeric oligonucleotides, oligonucleosides or mixed oligonucleotides/oligonucleosides of the invention can be of several different types. These include a first type wherein the "gap" segment of linked nucleosides is positioned between 5' and 3' "wing" segments of linked nucleosides and a second "open end" type wherein the "gap" segment is located at either the 3' or the 5' terminus of the oligomeric compound. Oligonucleotides of the first type are also known in the art as "gapmers" or gapped oligonucleotides. Oligonucleotides of the second type are also known in the art as "hemimers" or "wingmers".

**[2'-O-Me]--[2'-deoxy]--[2'-O-Me] Chimeric****Phosphorothioate Oligonucleotides**

[0128] Chimeric oligonucleotides having 2'-O-alkyl phosphorothioate and 2'-deoxy phosphorothioate oligonucleotide segments are synthesized using an Applied Biosystems automated DNA synthesizer Model 394, as above. Oligonucleotides are synthesized using the automated synthesizer and 2'-deoxy-5'-dimethoxytrityl-3'-O-phosphoramidite for the DNA portion and 5'-dimethoxytrityl-2'-O-methyl-3'-O-phosphoramidite for 5' and 3' wings. The standard synthesis cycle is modified by incorporating coupling steps with increased reaction times for the 5'-dimethoxytrityl-2'-O-methyl-3'-O-phosphoramidite. The fully protected oligonucleotide is cleaved from the support and deprotected in concentrated ammonia (NH<sub>4</sub>OH) for 12-16 hr at 55°C. The deprotected oligo is then recovered by an appropriate method (precipitation, column chromatography, volume reduced *in vacuo* and analyzed spectrophotometrically for yield and for purity by capillary electrophoresis and by mass spectrometry.



**[2'-O-(2-Methoxyethyl)]--[2'-deoxy]--[2'-O-(Methoxyethyl)] Chimeric Phosphorothioate Oligonucleotides**

**[0129]** [2'-O-(2-methoxyethyl)]--[2'-deoxy]--[2'-O-(methoxyethyl)] chimeric phosphorothioate oligonucleotides were prepared as per the procedure above for the 2'-O-methyl chimeric oligonucleotide, with the substitution of 2'-O-(methoxyethyl) amidites for the 2'-O-methyl amidites.

**[2'-O-(2-Methoxyethyl)Phosphodiester]--[2'-deoxy Phosphorothioate]--[2'-O-(2-Methoxyethyl) Phosphodiester] Chimeric Oligonucleotides**

**[0130]** [2'-O-(2-methoxyethyl phosphodiester)]--[2'-deoxy phosphorothioate]--[2'-O-(methoxyethyl) phosphodiester] chimeric oligonucleotides are prepared as per the above procedure for the 2'-O-methyl chimeric oligonucleotide with the substitution of 2'-O-(methoxyethyl) amidites for the 2'-O-methyl amidites, oxidation with iodine to generate the phosphodiester internucleotide linkages within the wing portions of the chimeric structures and sulfurization utilizing 3,4-benzodithiole-3-one 1,1 dioxide (Beaucage Reagent) to generate the phosphorothioate internucleotide linkages for the center gap.

**[0131]** Other chimeric oligonucleotides, chimeric oligonucleosides and mixed chimeric oligonucleotides/oligonucleosides are synthesized according to United States patent 5,623,065, herein incorporated by reference.

**Example 5**

**Design and screening of duplexed antisense compounds targeting CD40**

**[0132]** In accordance with the present invention, a series of nucleic acid duplexes comprising the antisense compounds of the present invention and their complements can be designed to target CD40. The nucleobase sequence of the antisense strand of the duplex comprises at least an 8-nucleobase portion of an oligonucleotide in Table 1. The ends of the strands may be modified by the addition of one or more natural or modified nucleobases to form an overhang. The sense strand of the dsRNA is then designed and synthesized as the complement of the antisense strand and may also contain modifications or additions to either terminus. For example, in one embodiment, both strands of the dsRNA duplex would be complementary over the central nucleobases, each having overhangs at one or both termini.

**[0133]** For example, a duplex comprising an antisense strand having the sequence CGAGAGGCGGACGGGACCG and having a two-nucleobase overhang of deoxythymidine(dT) would have the following structure:

cgagaggcggacgggaccgTT	Antisense Strand
TTgctctccgcctgccctggc	Complement

**[0134]** In another embodiment, a duplex comprising an antisense strand having the same sequence CGAGAGGCGGACGGGACCG may be prepared with blunt ends (no single stranded overhang) as shown:

cgagaggcggacgggaccg	Antisense Strand
gctctccgcctgccctggc	Complement

**[0135]** RNA strands of the duplex can be synthesized by methods disclosed herein or purchased from Dharmacon Research Inc., (Lafayette, CO). Once synthesized, the complementary strands are annealed. The single strands are aliquoted and diluted to a concentration of 50 uM. Once

diluted, 30 uL of each strand is combined with 15uL of a 5X solution of annealing buffer. The final concentration of said buffer is 100 mM potassium acetate, 30 mM HEPES-KOH pH 7.4, and 2mM magnesium acetate. The final volume is 75 uL. This solution is incubated for 1 minute at 90°C and then centrifuged for 15 seconds. The tube is allowed to sit for 1 hour at 37°C at which time the dsRNA duplexes are used in experimentation. The final concentration of the dsRNA duplex is 20 uM. This solution can be stored frozen (-20°C) and freeze-thawed up to 5 times.

[0136] Once prepared, the duplexed antisense compounds are evaluated for their ability to modulate CD40 expression.

[0137] When cells reached 80% confluency, they are treated with duplexed antisense compounds of the invention. For cells grown in 96-well plates, wells are washed once with 200 µL OPTI-MEM-1 reduced-serum medium (Gibco BRL) and then treated with 130 µL of OPTI-MEM-1 containing 12 µg/mL LIPOFECTIN (Gibco BRL) and the desired duplex antisense compound at a final concentration of 200 nM. After 5 hours of treatment, the medium is replaced with fresh medium. Cells are harvested 16 hours after treatment, at which time RNA is isolated and target reduction measured by RT-PCR.

## Example 6

### Oligonucleotide Isolation

[0138] After cleavage from the controlled pore glass solid support and deblocking in concentrated ammonium hydroxide at 55°C for 12-16 hours, the oligonucleotides or oligonucleosides are recovered by precipitation out of 1 M NH<sub>4</sub>OAc with >3 volumes of ethanol. Synthesized oligonucleotides were analyzed by electrospray mass spectroscopy (molecular weight determination) and by capillary gel electrophoresis and judged to be at least 70%

full length material. The relative amounts of phosphorothioate and phosphodiester linkages obtained in the synthesis was determined by the ratio of correct molecular weight relative to the -16 amu product (+/-32 +/-48). For some studies oligonucleotides were purified by HPLC, as described by Chiang *et al.*, *J. Biol. Chem.* **1991**, 266, 18162-18171. Results obtained with HPLC-purified material were similar to those obtained with non-HPLC purified material.

#### **Example 7**

##### **Oligonucleotide Synthesis - 96 Well Plate Format**

[0139] Oligonucleotides were synthesized via solid phase P(III) phosphoramidite chemistry on an automated synthesizer capable of assembling 96 sequences simultaneously in a 96-well format. Phosphodiester internucleotide linkages were afforded by oxidation with aqueous iodine. Phosphorothioate internucleotide linkages were generated by sulfurization utilizing 3,4-dihydro-2H-benzothiole-3-one 1,1-dioxide (Beaucage Reagent) in anhydrous acetonitrile. Standard base-protected beta-cyanoethyl-diisopropyl phosphoramidites were purchased from commercial vendors (e.g. PE-Applied Biosystems, Foster City, CA, or Pharmacia, Piscataway, NJ). Non-standard nucleosides are synthesized as per standard or patented methods. They are utilized as base protected beta-cyanoethyldiisopropyl phosphoramidites.

[0140] Oligonucleotides were cleaved from support and deprotected with concentrated  $\text{NH}_4\text{OH}$  at elevated temperature (55-60°C) for 12-16 hours and the released product then dried *in vacuo*. The dried product was then re-suspended in sterile water to afford a master plate from which all analytical and test plate samples are then diluted utilizing robotic pipettors.

**Example 8****Oligonucleotide Analysis - 96-Well Plate Format**

[0141] The concentration of oligonucleotide in each well was assessed by dilution of samples and UV absorption spectroscopy. The full-length integrity of the individual products was evaluated by capillary electrophoresis (CE) in either the 96-well format (Beckman P/ACE™ MDQ) or, for individually prepared samples, on a commercial CE apparatus (e.g., Beckman P/ACE™ 5000, ABI 270). Base and backbone composition was confirmed by mass analysis of the compounds utilizing electrospray-mass spectroscopy. All assay test plates were diluted from the master plate using single and multi-channel robotic pipettors. Plates were judged to be acceptable if at least 85% of the compounds on the plate were at least 85% full length.

**Example 9****Antisense Sequences Targeted to Human CD40**

[0142] In accordance with the present invention, a series of antisense sequences were designed to target different regions of the human CD40 mRNA, using published sequences [Stamenkovic et al., *EMBO J.*, 8, 1403 (1989); GenBank accession number X60592]. The sequences are shown in Table 1.

**Table 1**  
**Antisense sequences targeted to human CD40 mRNA**

ISIS#	TARGET REGION	TARGET SITE <sup>1</sup>	SEQUENCE	SEQ ID NO.
18623	5' UTR	18	CCAGGCGGCAGGACCACT	1
18624	5' UTR	20	GACCAGGCGGCAGGACCA	2

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18625	5' UTR	26	AGGTGAGACCAGGCGGCA	3
18626	AUG	48	CAGAGGCAGACGAACCAT	4
18627	Coding	49	GCAGAGGCAGACGAACCA	5
18628	Coding	73	GCAAGCAGCCCCAGAGGA	6
18629	Coding	78	GGTCAGCAAGCAGCCCCA	7
18630	Coding	84	GACAGCGGTCAGCAAGCA	8
18631	Coding	88	GATGGACAGCGGTCAGCA	9
18632	Coding	92	TCTGGATGGACAGCGGTC	10
18633	Coding	98	GGTGGTTCTGGATGGACA	11
18634	Coding	101	GTGGGTGGTTCTGGATGG	12
18635	Coding	104	GCAGTGGGTGGTTCTGGA	13
18636	Coding	152	CACAAAGAACAGCACTGA	14
18637	Coding	156	CTGGCACAAAGAACAGCA	15
18638	Coding	162	TCCTGGCTGGCACAAAGA	16
18639	Coding	165	CTGTCCTGGCTGGCACAA	17
18640	Coding	176	CTCACCAGTTTCTGTCCT	18
18641	Coding	179	TCACTCACCAGTTTCTGT	19
18642	Coding	185	GTGCAGTCACTCACCAGT	20
18643	Coding	190	ACTCTGTGCAGTCACTCA	21
18644	Coding	196	CAGTGA ACTCTGTGCAGT	22
18645	Coding	205	ATTCCGTTTCAGTGA ACT	23
18646	Coding	211	GAAGGCATTCCGTTTCAG	24
18647	Coding	222	TTCACCGCAAGGAAGGCA	25
18648	Coding	250	CTCTGTTCCAGGTGTCTA	26
18649	Coding	267	CTGGTGGCAGTGTGTCTC	27
18650	Coding	286	TGGGGTCGCAGTATTTGT	28
18651	Coding	289	GGTTGGGGTCGCAGTATT	29
18652	Coding	292	CTAGGTTGGGGTCGCAGT	30
18653	Coding	318	GGTGCCCTTCTGCTGGAC	31
18654	Coding	322	CTGAGGTGCCCTTCTGCT	32
18655	Coding	332	GTGTCTGTTTCTGAGGTG	33
18656	Coding	334	TGGTGTCTGTTTCTGAGG	34
18657	Coding	345	ACAGGTGCAGATGGTGTC	35

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18658	Coding	348	TTCACAGGTGCAGATGGT	36
18659	Coding	360	GTGCCAGCCTTCTTCACA	37
18660	Coding	364	TACAGTGCCAGCCTTCTT	38
18661	Coding	391	GGACACAGCTCTCACAGG	39
18662	Coding	395	TGCAGGACACAGCTCTCA	40
18663	Coding	401	GAGCGGTGCAGGACACAG	41
18664	Coding	416	AAGCCGGGCGAGCATGAG	42
18665	Coding	432	AATCTGCTTGACCCCAA	43
18666	Coding	446	GAAACCCCTGTAGCAATC	44
18667	Coding	452	GTATCAGAAACCCCTGTA	45
18668	Coding	463	GCTCGCAGATGGTATCAG	46
18669	Coding	468	GCAGGGCTCGCAGATGGT	47
18670	Coding	471	TGGGCAGGGCTCGCAGAT	48
18671	Coding	474	GACTGGGCAGGGCTCGCA	49
18672	Coding	490	CATTGGAGAAGAAGCCGA	50
18673	Coding	497	GATGACACATTGGAGAAG	51
18674	Coding	500	GCAGATGACACATTGGAG	52
18675	Coding	506	TCGAAAGCAGATGACACA	53
18676	Coding	524	GTCCAAGGGTGACATTTT	54
18677	Coding	532	CACAGCTTGTCCAAGGGT	55
18678	Coding	539	TTGGTCTCACAGCTTGTC	56
18679	Coding	546	CAGGTCTTTGGTCTCACA	57
18680	Coding	558	CTGTTGCACAACCAGGTC	58
18681	Coding	570	GTTTGTGCCTGCCTGTTG	59
18682	Coding	575	GTCTTGTTTGTGCCTGCC	60
18683	Coding	590	CCACAGACAACATCAGTC	61
18684	Coding	597	CTGGGGACCACAGACAAC	62
18685	Coding	607	TCAGCCGATCCTGGGGAC	63
18686	Coding	621	CACCACCAGGGCTCTCAG	64
18687	Coding	626	GGGATCACCACCAGGGCT	65
18688	Coding	657	GAGGATGGCAAACAGGAT	66
18689	Coding	668	ACCAGCACCAAGAGGATG	67
18690	Coding	679	TTTTGATAAAGACCAGCA	68

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18691	Coding	703	TATTGGTTGGCTTCTTGG	69
18692	Coding	729	GGGTTCCTGCTTGGGGTG	70
18693	Coding	750	GTCGGGAAAATTGATCTC	71
18694	Coding	754	GATCGTCGGGAAAATTGA	72
18695	Coding	765	GGAGCCAGGAAGATCGTC	73
18696	Coding	766	TGGAGCCAGGAAGATCGT	74
18697	Coding	780	TGGAGCAGCAGTGTGGA	75
18698	Coding	796	GTAAAGTCTCCTGCACTG	76
18699	Coding	806	TGGCATCCATGTAAAGTC	77
18700	Coding	810	CGGTGGCATCCATGTAA	78
18701	Coding	834	CTCTTTGCCATCCTCCTG	79
18702	Coding	861	CTGTCTCTCCTGCACTGA	80
18703	Stop	873	GGTGCAGCCTCACTGTCT	81
18704	3' UTR	910	AACTGCCTGTTTGCCAC	82
18705	3' UTR	954	CTTCTGCCTGCACCCCTG	83
18706	3' UTR	976	ACTGACTGGGCATAGCTC	84

<sup>1</sup>Target sites are indicated by the 5' most nucleotide to which the oligonucleotide hybridizes on the CD40 mRNA sequence. Nucleotide numbers are as given in the sequence source reference (Genbank accession no. X60592, incorporated herein as SEQ ID NO: 85). Target regions on the CD40 mRNA are also indicated.

### **Example 10**

#### **Cell Culture and Oligonucleotide Treatment**

**[0143]** The effect of antisense compounds on target nucleic acid expression can be tested in any of a variety of cell types provided that the target nucleic acid is present at measurable levels. This can be routinely determined using, for example, PCR or Northern blot analysis. The following four cell types are provided for illustrative



purposes, but other cell types can be routinely used.

**T-24 cells:**

[0144] The transitional cell bladder carcinoma cell line T-24 was obtained from the American Type Culture Collection (ATCC) (Manassas, VA). T-24 cells were routinely cultured in complete McCoy's 5A basal media (Gibco/Life Technologies, Gaithersburg, MD) supplemented with 10% fetal calf serum (Gibco/Life Technologies, Gaithersburg, MD), penicillin 100 units per mL, and streptomycin 100 micrograms per mL (Gibco/Life Technologies, Gaithersburg, MD). Cells were routinely passaged by trypsinization and dilution when they reached 90% confluence. Cells were seeded into 96-well plates (Falcon-Primaria #3872) at a density of 7000 cells/well for use in real-time quantitative polymerase chain reaction (PCR).

[0145] For Northern blotting or other analysis, cells may be seeded onto 100 mm or other standard tissue culture plates and treated similarly, using appropriate volumes of medium and oligonucleotide.

**A549 cells:**

[0146] The human lung carcinoma cell line A549 was obtained from the American Type Culture Collection (ATCC) (Manassas, VA). A549 cells were routinely cultured in DMEM basal media (Gibco/Life Technologies, Gaithersburg, MD) supplemented with 10% fetal calf serum (Gibco/Life Technologies, Gaithersburg, MD), penicillin 100 units per mL, and streptomycin 100 µg/mL (Gibco/Life Technologies, Gaithersburg, MD). Cells were routinely passaged by trypsinization and dilution when they reached 90% confluence.

**NHDF cells:**

[0147] Human neonatal dermal fibroblast (NHDF) cells were obtained from the Clonetics Corporation (Walkersville, MD). NHDFs were routinely maintained in Fibroblast Growth Medium (Clonetics Corporation, Walkersville, MD) as recommended by the supplier. Cells were maintained for up to 10 passages as recommended by the supplier.

**HEK cells:**

[0148] Human embryonic keratinocytes (HEK) were obtained from the Clonetics Corporation (Walkersville, MD). HEKs were routinely maintained in Keratinocyte Growth Medium (Clonetics Corporation, Walkersville, MD) formulated as recommended by the supplier. Cell were routinely maintained for up to 10 passages as recommended by the supplier.

**Treatment with antisense compounds:**

[0149] When cells reached 80% confluency, they were treated with oligonucleotide. For cells grown in 96-well plates, wells were washed once with 200  $\mu$ l Opti-MEM<sup>TM</sup>-1 reduced-serum medium (Gibco BRL) and then treated with 130  $\mu$ l of Opti-MEM<sup>TM</sup>-1 containing 3.75  $\mu$ g/mL LIPOFECTIN<sup>TM</sup> (Gibco BRL) and the desired oligonucleotide at a final concentration of 150 nM. After 4 hours of treatment, the medium was replaced with fresh medium. Cells were harvested 16 hours after oligonucleotide treatment.

**Example 11**

**Analysis of Oligonucleotide Inhibition of CD40 Expression**

[0150] Antisense modulation of CD40 expression can be assayed in a variety of ways known in the art. For example, CD40 mRNA levels can be quantitated by, e.g., Northern blot analysis, competitive PCR, or real-time PCR. Real-time

quantitative PCR is presently preferred. RNA analysis can be performed on total cellular RNA or poly(A)+ mRNA. For real-time quantitative PCR, poly(A)+ mRNA is preferred. Methods of RNA isolation are taught in, for example, Ausubel, F.M. et al., Current Protocols in Molecular Biology, Volume 1, pp.4.1.1-4.2.9 and 4.5.1-4.5.3, John Wiley & Sons, Inc., (1993). Northern blot analysis is routine in the art and is taught in, for example, Ausubel, F.M. et al., Current Protocols in Molecular Biology, Volume 1, pp. 4.2.1-4.2.9, John Wiley & Sons, Inc., (1996). Real-time quantitative polymerase chain reaction (PCR) can be conveniently accomplished using the commercially available ABI PRISM™ 7700 Sequence Detection System, available from PE-Applied Biosystems, Foster City, CA and used according to manufacturer's instructions. Other methods of PCR are also known in the art.

**[0151]** CD40 protein levels can be quantitated in a variety of ways well known in the art, such as immunoprecipitation, Western blot analysis (immunoblotting), ELISA or fluorescence-activated cell sorting (FACS). Antibodies directed to CD40 can be identified and obtained from a variety of sources, such as those identified in the MSRS catalog of antibodies, (Aerie Corporation, Birmingham, MI or via the internet at <http://www.antibodies-probes.com/>), or can be prepared via conventional antibody generation methods. Methods for preparation of polyclonal antisera are taught in, for example, Ausubel, F.M. et al., Current Protocols in Molecular Biology, Volume 2, pp. 11.12.1-11.12.9, John Wiley & Sons, Inc., (1997). Preparation of monoclonal antibodies is taught in, for example, Ausubel, F.M. et al., Current Protocols in Molecular Biology, Volume 2, pp. 11.4.1-11.11.5, John Wiley & Sons, Inc., (1997)

[0152] Immunoprecipitation methods are standard in the art and can be found at, for example, Ausubel, F.M. et al., Current Protocols in Molecular Biology, Volume 2, pp. 10.16.1-10.16.11, John Wiley & Sons, Inc., (1998). Western blot (immunoblot) analysis is standard in the art and can be found at, for example, Ausubel, F.M. et al., Current Protocols in Molecular Biology, Volume 2, pp. 10.8.1-10.8.21, John Wiley & Sons, Inc., (1997). Enzyme-linked immunosorbent assays (ELISA) are standard in the art and can be found at, for example, Ausubel, F.M. et al., Current Protocols in Molecular Biology, Volume 2, pp. 11.2.1-11.2.22, John Wiley & Sons, Inc., (1991).

#### **Example 12**

##### **Poly(A)+ mRNA Isolation**

[0153] Poly(A)+ mRNA was isolated according to Miura et al., Clin. Chem., 42, 1758 (1996). Other methods for poly(A)+ mRNA isolation are taught in, for example, Ausubel, F.M. et al., Current Protocols in Molecular Biology, Volume 1, pp. 4.5.1-4.5.3, John Wiley & Sons, Inc., (1993). Briefly, for cells grown on 96-well plates, growth medium was removed from the cells and each well was washed with 200  $\mu$ l cold PBS. 60  $\mu$ l lysis buffer (10 mM Tris-HCl, pH 7.6, 1 mM EDTA, 0.5 M NaCl, 0.5% NP-40, 20 mM vanadyl-ribonucleoside complex) was added to each well, the plate was gently agitated and then incubated at room temperature for five minutes. 55  $\mu$ l of lysate was transferred to Oligo d(T) coated 96-well plates (AGCT Inc., Irvine CA). Plates were incubated for 60 minutes at room temperature, washed 3 times with 200  $\mu$ l of wash buffer (10 mM Tris-HCl pH 7.6, 1 mM EDTA, 0.3 M NaCl). After the final wash, the plate was blotted on paper towels to remove excess wash buffer and then air-dried for 5 minutes. 60  $\mu$ l of elution buffer (5 mM

Tris-HCl pH 7.6), preheated to 70°C was added to each well, the plate was incubated on a 90° hot plate for 5 minutes, and the eluate was then transferred to a fresh 96-well plate.

**[0154]** Cells grown on 100 mm or other standard plates may be treated similarly, using appropriate volumes of all solutions.

### **Example 13**

#### **Northern Blot Analysis of CD40 mRNA Levels**

**[0155]** Eighteen hours after oligonucleotide treatment monolayers were washed twice with cold PBS and lysed in 0.5 mL RNazol™ (TEL-TEST "B" Inc., Friendswood, TX). Total RNA was prepared following manufacturer's recommended protocols. Approximately ten µg of total RNA was fractionated by electrophoresis through 1.2% agarose gels containing 1.1% formaldehyde using a MOPS buffer system (Life Technologies, Inc., Rockville, MD). RNA was transferred from the gel to Hybond™-N+ nylon membranes (Amersham Pharmacia Biotech, Piscataway, NJ) by overnight capillary transfer using a Northern/Southern Transfer buffer system (TEL-TEST "B" Inc., Friendswood, TX). RNA transfer was confirmed by UV visualization. Membranes were fixed by UV cross-linking using a Stratalinker™ UV Crosslinker 2400 (Stratagene, Inc, La Jolla, CA).

**[0156]** Membranes were probed using QuickHyb™ hybridization solution (Stratagene, La Jolla, CA) using manufacturer's recommendations for stringent conditions with a CD40 specific probe prepared by PCR using the forward primer CAGAGTTCACCTGAAACGGAATGC (SEQ ID No. 86) and the reverse primer GGTGGCAGTGTGTCTCTCTGTTC (SEQ ID No. 87). To normalize for variations in loading and transfer efficiency membranes were stripped and probed for glyceraldehyde-3-phosphate dehydrogenase (G3PDH) RNA (Clontech, Palo Alto,

CA). Hybridized membranes were visualized and quantitated using a PhosphorImager™ and ImageQuant Software V3.3 (Molecular Dynamics, Sunnyvale, CA). Data was normalized to G3PDH levels in untreated controls.

#### **Example 14**

##### **Real-time Quantitative PCR Analysis of CD40 mRNA Levels**

[0157] Quantitation of CD40 mRNA levels was conducted by real-time quantitative PCR using the ABI PRISM™ 7700 Sequence Detection System (PE-Applied Biosystems, Foster City, CA) according to manufacturer's instructions. This is a closed-tube, non-gel-based, fluorescence detection system which allows high-throughput quantitation of polymerase chain reaction (PCR) products in real-time. As opposed to standard PCR, in which amplification products are quantitated after the PCR is completed, products in real-time quantitative PCR are quantitated as they accumulate. This is accomplished by including in the PCR reaction an oligonucleotide probe that anneals specifically between the forward and reverse PCR primers, and contains two fluorescent dyes. A reporter dye (e.g., JOE or FAM, PE-Applied Biosystems, Foster City, CA) is attached to the 5' end of the probe and a quencher dye (e.g., TAMRA, PE-Applied Biosystems, Foster City, CA) is attached to the 3' end of the probe. When the probe and dyes are intact, reporter dye emission is quenched by the proximity of the 3' quencher dye. During amplification, annealing of the probe to the target sequence creates a substrate that can be cleaved by the 5'-exonuclease activity of Taq polymerase. During the extension phase of the PCR amplification cycle, cleavage of the probe by Taq polymerase releases the reporter dye from the remainder of the probe (and hence from the quencher moiety) and a sequence-specific fluorescent signal is

generated. With each cycle, additional reporter dye molecules are cleaved from their respective probes, and the fluorescence intensity is monitored at regular (six-second) intervals by laser optics built into the ABI PRISM™ 7700 Sequence Detection System. In each assay, a series of parallel reactions containing serial dilutions of mRNA from untreated control samples generates a standard curve that is used to quantitate the percent inhibition after antisense oligonucleotide treatment of test samples.

**[0158]** PCR reagents were obtained from PE-Applied Biosystems, Foster City, CA. Reverse transcriptase PCR reactions were carried out by adding 25 µl PCR cocktail (1x Taqman™ buffer A, 5.5 mM MgCl<sub>2</sub>, 300 µM each of dATP, dCTP and dGTP, 600 µM of dUTP, 100 nM each of forward primer, reverse primer, and probe, 20 units RNase inhibitor, 1.25 units AmpliTaq Gold™, and 12.5 units Moloney Murine Leukemia Virus (MuLV) Reverse Transcriptase to 96 well plates containing 25 µl poly(A) mRNA solution. The RT reaction was carried out by incubation for 30 minutes at 48°C following a 10 minute incubation at 95°C to activate the AmpliTaq Gold™, 40 cycles of a two-step PCR protocol were carried out: 95°C for 15 seconds (denaturation) followed by 60°C for one minute (annealing/extension).

**[0159]** For CD40, the PCR primers were:  
forward primer: CAGAGTTCACTGAAACGGAATGC (SEQ ID No. 86)  
reverse primer: GGTGGCAGTGTGTCTCTCTGTTC (SEQ ID No. 87) and  
the PCR probe was: FAM-TTCCTTGCGGTGAAAGCGAATTCCT-TAMRA (SEQ ID No. 88) where FAM (PE-Applied Biosystems, Foster City, CA) is the fluorescent reporter dye) and TAMRA (PE-Applied Biosystems, Foster City, CA) is the quencher dye.

**[0160]** For GAPDH the PCR primers were:  
forward primer: GAAGGTGAAGGTCGGAGTC (SEQ ID No. 89)

reverse primer: GAAGATGGTGATGGGATTTC (SEQ ID No. 90) and the PCR probe was: 5' JOE-CAAGCTTCCCCTTCTCAGCC- TAMRA 3' (SEQ ID No. 91) where JOE (PE-Applied Biosystems, Foster City, CA) is the fluorescent reporter dye) and TAMRA (PE-Applied Biosystems, Foster City, CA) is the quencher dye.

**Example 15****Western Blot Analysis of CD40 Protein Levels**

[0161] Western blot analysis (immunoblot analysis) is carried out using standard methods. Cells are harvested 16-20 hr after oligonucleotide treatment, washed once with PBS, suspended in Laemmli buffer (100  $\mu$ l/well), boiled for 5 minutes and loaded on a 16% SDS-PAGE gel. Gels are run for 1.5 hours at 150 V, and transferred to membrane for western blotting. Appropriate primary antibody directed to CD40 is used, with a radiolabelled or fluorescently labeled secondary antibody directed against the primary antibody species. Bands are visualized using a PhosphorImager™ (Molecular Dynamics, Sunnyvale CA).

**Example 16****Antisense Inhibition of CD40 Expression by Phosphorothioate Oligodeoxynucleotides**

[0162] In accordance with the present invention, a series of oligonucleotides were designed to target different regions of the human CD40 mRNA, using published sequences [Stamenkovic et al., *EMBO J.*, 8, 1403 (1989); GenBank accession number X60592, incorporated herein as SEQ ID NO: 85]. The oligonucleotides are shown in Table 2. Target sites are indicated by the 5' most nucleotide to which the oligonucleotide hybridizes on the CD40 mRNA sequence. Nucleotide numbers are as given in the sequence source reference (Genbank accession no. X60592, incorporated herein



as SEQ ID NO: 85). All compounds in Table 2 are oligodeoxynucleotides with phosphorothioate backbones (internucleoside linkages) throughout. The compounds were analyzed for effect on CD40 mRNA levels by real-time PCR quantitation of RNA as described in Example 14. Data are averages from three experiments.

**Table 2**  
**Inhibition of CD40 mRNA levels by phosphorothioate oligodeoxynucleotides**

ISIS#	TARGET REGION	TARGET SITE	SEQUENCE	% INHIB.	SEQ ID NO.
18623	5' UTR	18	CCAGGCGGCAGGACCACT	30.71	1
18624	5' UTR	20	GACCAGGCGGCAGGACCA	28.09	2
18625	5' UTR	26	AGGTGAGACCAGGCGGCA	21.89	3
18626	AUG	48	CAGAGGCAGACGAACCAT	0.00	4
18627	Coding	49	GCAGAGGCAGACGAACCA	0.00	5
18628	Coding	73	GCAAGCAGCCCCAGAGGA	0.00	6
18629	Coding	78	GGTCAGCAAGCAGCCCCA	29.96	7
18630	Coding	84	GACAGCGGTCAGCAAGCA	0.00	8
18631	Coding	88	GATGGACAGCGGTCAGCA	0.00	9
18632	Coding	92	TCTGGATGGACAGCGGTC	0.00	10
18633	Coding	98	GGTGGTTCTGGATGGACA	0.00	11
18634	Coding	101	GTGGGTGGTTCTGGATGG	0.00	12
18635	Coding	104	GCAGTGGGTGGTTCTGGA	0.00	13
18636	Coding	152	CACAAAGAACAGCACTGA	0.00	14
18637	Coding	156	CTGGCACAAAGAACAGCA	0.00	15
18638	Coding	162	TCCTGGCTGGCACAAAGA	0.00	16
18639	Coding	165	CTGTCCTGGCTGGCACAA	4.99	17
18640	Coding	176	CTCACCAGTTTCTGTCCT	0.00	18
18641	Coding	179	TCACTCACCAGTTTCTGT	0.00	19
18642	Coding	185	GTGCAGTCACTCACCAGT	0.00	20
18643	Coding	190	ACTCTGTGCAGTCACTCA	0.00	21

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18644	Coding	196	CAGTGAACCTCTGTGCAGT	5.30	22
18645	Coding	205	ATTCGGTTTCAGTGAAC	0.00	23
18646	Coding	211	GAAGGCATTCCGTTTCAG	9.00	24
18647	Coding	222	TTCACCGCAAGGAAGGCA	0.00	25
18648	Coding	250	CTCTGTTCCAGGTGTCTA	0.00	26
18649	Coding	267	CTGGTGGCAGTGTGTCTC	0.00	27
18650	Coding	286	TGGGGTCGCAGTATTTGT	0.00	28
18651	Coding	289	GGTTGGGGTCGCAGTATT	0.00	29
18652	Coding	292	CTAGGTTGGGGTCGCAGT	0.00	30
18653	Coding	318	GGTGCCCTTCTGCTGGAC	19.67	31
18654	Coding	322	CTGAGGTGCCCTTCTGCT	15.63	32
18655	Coding	332	GTGTCTGTTTCTGAGGTG	0.00	33
18656	Coding	334	TGGTGTCTGTTTCTGAGG	0.00	34
18657	Coding	345	ACAGGTGCAGATGGTGTC	0.00	35
18658	Coding	348	TTCACAGGTGCAGATGGT	0.00	36
18659	Coding	360	GTGCCAGCCTTCTTCACA	5.67	37
18660	Coding	364	TACAGTGCCAGCCTTCTT	7.80	38
18661	Coding	391	GGACACAGCTCTCACAGG	0.00	39
18662	Coding	395	TGCAGGACACAGCTCTCA	0.00	40
18663	Coding	401	GAGCGGTGCAGGACACAG	0.00	41
18664	Coding	416	AAGCCGGGCGAGCATGAG	0.00	42
18665	Coding	432	AATCTGCTTGACCCCAAA	5.59	43
18666	Coding	446	GAAACCCCTGTAGCAATC	0.10	44
18667	Coding	452	GTATCAGAAACCCCTGTA	0.00	45
18668	Coding	463	GCTCGCAGATGGTATCAG	0.00	46
18669	Coding	468	GCAGGGCTCGCAGATGGT	34.05	47
18670	Coding	471	TGGGCAGGGCTCGCAGAT	0.00	48
18671	Coding	474	GACTGGGCAGGGCTCGCA	2.71	49
18672	Coding	490	CATTGGAGAAGAAGCCGA	0.00	50
18673	Coding	497	GATGACACATTGGAGAAG	0.00	51
18674	Coding	500	GCAGATGACACATTGGAG	0.00	52
18675	Coding	506	TCGAAAGCAGATGACACA	0.00	53
18676	Coding	524	GTCCAAGGGTGACATTTT	8.01	54

ISIS-5315 (ISIS2960US.P2)					PATENT
18677	Coding	532	CACAGCTTGTCCAAGGGT	0.00	55
18678	Coding	539	TTGGTCTCACAGCTTGTC	0.00	56
18679	Coding	546	CAGGTCTTTGGTCTCACA	6.98	57
18680	Coding	558	CTGTTGCACAACCAGGTC	18.76	58
18681	Coding	570	GTTTGTGCCTGCCTGTTG	2.43	59
18682	Coding	575	GTCTTGTTTGTGCCTGCC	0.00	60
18683	Coding	590	CCACAGACAACATCAGTC	0.00	61
18684	Coding	597	CTGGGGACCACAGACAAC	0.00	62
18685	Coding	607	TCAGCCGATCCTGGGGAC	0.00	63
18686	Coding	621	CACCACCAGGGCTCTCAG	23.31	64
18687	Coding	626	GGGATCACCACCAGGGCT	0.00	65
18688	Coding	657	GAGGATGGCAAACAGGAT	0.00	66
18689	Coding	668	ACCAGCACCAAGAGGATG	0.00	67
18690	Coding	679	TTTTGATAAAGACCAGCA	0.00	68
18691	Coding	703	TATTGGTTGGCTTCTTGG	0.00	69
18692	Coding	729	GGGTTCCTGCTTGGGGTG	0.00	70
18693	Coding	750	GTCGGGAAAATTGATCTC	0.00	71
18694	Coding	754	GATCGTCGGGAAAATTGA	0.00	72
18695	Coding	765	GGAGCCAGGAAGATCGTC	0.00	73
18696	Coding	766	TGGAGCCAGGAAGATCGT	0.00	74
18697	Coding	780	TGGAGCAGCAGTGTGGA	0.00	75
18698	Coding	796	GTAAAGTCTCCTGCACTG	0.00	76
18699	Coding	806	TGGCATCCATGTAAAGTC	0.00	77
18700	Coding	810	CGGTTGGCATCCATGTAA	0.00	78
18701	Coding	834	CTCTTTGCCATCCTCCTG	4.38	79
18702	Coding	861	CTGTCTCTCCTGCACTGA	0.00	80
18703	Stop	873	GGTGCAGCCTCACTGTCT	0.00	81
18704	3' UTR	910	AACTGCCTGTTTGCCAC	33.89	82
18705	3' UTR	954	CTTCTGCCTGCACCCCTG	0.00	83
18706	3' UTR	976	ACTGACTGGGCATAGCTC	0.00	84

**[0163]** As shown in Table 2, SEQ ID NOs 1, 2, 7, 47 and 82

demonstrated at least 25% inhibition of CD40 expression in this assay and are therefore preferred.

#### Example 17:

#### Antisense Inhibition of CD40 Expression by Phosphorothioate 2'-MOE Gapmer Oligonucleotides

**[0164]** In accordance with the present invention, a second series of oligonucleotides targeted to human CD40 were synthesized. The oligonucleotides are shown in Table 3. Target sites are indicated by nucleotide numbers, as given in the sequence source reference (Stamenkovic et al., *EMBO J.*, 8, 1403 (1989); Genbank accession no. X60592), to which the oligonucleotide binds.

**[0165]** All compounds in Table 3 are chimeric oligonucleotides ("gapmers") 18 nucleotides in length, composed of a central "gap" region consisting of ten 2'-deoxynucleotides, which is flanked on both sides (5' and 3' directions) by four-nucleotide "wings." The wings are composed of 2'-methoxyethyl (2'-MOE)nucleotides. The internucleoside (backbone) linkages are phosphorothioate (P=S) throughout the oligonucleotide. Cytidine residues in the 2'-MOE wings are 5-methylcytidines.

**[0166]** Data were obtained by real-time quantitative PCR as described in Example 14 and are averaged from three experiments. "ND" indicates a value was not determined.

**Table 3**  
**Inhibition of CD40 mRNA levels by chimeric phosphorothioate oligonucleotides having 2'-MOE wings and a deoxy gap**

ISIS#	TARGET REGION	TARGET SITE	TARGET SEQUENCE	% Inhibition	SEQ ID NO.
19211	5' UTR	18	CCAGGCGGCAGGACCACT	75.71	1

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19212	5' UTR	20	GACCAGGCGGCAGGACCA	77.23	2
19213	5' UTR	26	AGGTGAGACCAGGCGGCA	80.82	3
19214	AUG	48	CAGAGGCAGACGAACCAT	23.68	4
19215	Coding	49	GCAGAGGCAGACGAACCA	45.97	5
19216	Coding	73	GCAAGCAGCCCCAGAGGA	65.80	6
19217	Coding	78	GGTCAGCAAGCAGCCCCA	74.73	7
19218	Coding	84	GACAGCGGTCAGCAAGCA	67.21	8
19219	Coding	88	GATGGACAGCGGTCAGCA	65.14	9
19220	Coding	92	TCTGGATGGACAGCGGTC	78.71	10
19221	Coding	98	GGTGGTTCTGGATGGACA	81.33	11
19222	Coding	101	GTGGGTGGTTCTGGATGG	57.79	12
19223	Coding	104	GCAGTGGGTGGTTCTGGA	73.70	13
19224	Coding	152	CACAAAGAACAGCACTGA	40.25	14
19225	Coding	156	CTGGCACAAAGAACAGCA	60.11	15
19226	Coding	162	TCCTGGCTGGCACAAAGA	10.18	16
19227	Coding	165	CTGTCCTGGCTGGCACAA	24.37	17
19228	Coding	176	CTCACCAGTTTCTGTCCT	22.30	18
19229	Coding	179	TCACTCACCAGTTTCTGT	40.64	19
19230	Coding	185	GTGCAGTCACTCACCAGT	82.04	20
19231	Coding	190	ACTCTGTGCAGTCACTCA	37.59	21
19232	Coding	196	CAGTGA ACTCTGTGCAGT	40.26	22
19233	Coding	205	ATTCCGTTTTCAGTGA ACT	56.03	23
19234	Coding	211	GAAGGCATTCCGTTTCAG	32.21	24
19235	Coding	222	TTCACCGCAAGGAAGGCA	61.03	25
19236	Coding	250	CTCTGTTCCAGGTGTCTA	62.19	26
19237	Coding	267	CTGGTGGCAGTGTGTCTC	70.32	27
19238	Coding	286	TGGGGTCGCAGTATTTGT	0.00	28
19239	Coding	289	GGTTGGGGTCGCAGTATT	19.40	29
19240	Coding	292	CTAGGTTGGGGTCGCAGT	36.32	30
19241	Coding	318	GGTGCCCTTCTGCTGGAC	78.91	31
19242	Coding	322	CTGAGGTGCCCTTCTGCT	69.84	32
19243	Coding	332	GTGTCTGTTTCTGAGGTG	63.32	33
19244	Coding	334	TGGTGTCTGTTTCTGAGG	42.83	34

**ISIS-5315 (ISIS2960US.P2)****PATENT**

19245	Coding	345	ACAGGTGCAGATGGTGTC	73.31	35
19246	Coding	348	TTCACAGGTGCAGATGGT	47.72	36
19247	Coding	360	GTGCCAGCCTTCTTCACA	61.32	37
19248	Coding	364	TACAGTGCCAGCCTTCTT	46.82	38
19249	Coding	391	GGACACAGCTCTCACAGG	0.00	39
19250	Coding	395	TGCAGGACACAGCTCTCA	52.05	40
19251	Coding	401	GAGCGGTGCAGGACACAG	50.15	41
19252	Coding	416	AAGCCGGGCGAGCATGAG	32.36	42
19253	Coding	432	AATCTGCTTGACCCCAA	0.00	43
19254	Coding	446	GAAACCCCTGTAGCAATC	0.00	44
19255	Coding	452	GTATCAGAAACCCCTGTA	36.13	45
19256	Coding	463	GCTCGCAGATGGTATCAG	64.65	46
19257	Coding	468	GCAGGGCTCGCAGATGGT	74.95	47
19258	Coding	471	TGGGCAGGGCTCGCAGAT	0.00	48
19259	Coding	474	GACTGGGCAGGGCTCGCA	82.00	49
19260	Coding	490	CATTGGAGAAGAAGCCGA	41.31	50
19261	Coding	497	GATGACACATTGGAGAAG	13.81	51
19262	Coding	500	GCAGATGACACATTGGAG	78.48	52
19263	Coding	506	TCGAAAGCAGATGACACA	59.28	53
19264	Coding	524	GTCCAAGGGTGACATTTT	70.99	54
19265	Coding	532	CACAGCTTGTCCAAGGGT	0.00	55
19266	Coding	539	TTGGTCTCACAGCTTGTC	45.92	56
19267	Coding	546	CAGGTCTTTGGTCTCACA	63.95	57
19268	Coding	558	CTGTTGCACAACCAGGTC	82.32	58
19269	Coding	570	GTTTGTGCCTGCCTGTTG	70.10	59
19270	Coding	575	GTCTTGTTTGTGCCTGCC	68.95	60
19271	Coding	590	CCACAGACAACATCAGTC	11.22	61
19272	Coding	597	CTGGGGACCACAGACAAC	9.04	62
19273	Coding	607	TCAGCCGATCCTGGGGAC	0.00	63
19274	Coding	621	CACCACCAGGGCTCTCAG	23.08	64
19275	Coding	626	GGGATCACCACCAGGGCT	57.94	65
19276	Coding	657	GAGGATGGCAAACAGGAT	49.14	66
19277	Coding	668	ACCAGCACCAAGAGGATG	ND	67

ISIS-5315 (ISIS2960US.P2)					PATENT
19278	Coding	679	TTTTGATAAAGACCAGCA	30.58	68
19279	Coding	703	TATTGGTTGGCTTCTTGG	49.26	69
19280	Coding	729	GGGTTCCTGCTTGGGGTG	13.95	70
19281	Coding	750	GTCGGGAAAATTGATCTC	54.78	71
19282	Coding	754	GATCGTCGGGAAAATTGA	0.00	72
19283	Coding	765	GGAGCCAGGAAGATCGTC	69.47	73
19284	Coding	766	TGGAGCCAGGAAGATCGT	54.48	74
19285	Coding	780	TGGAGCAGCAGTGTGGA	15.17	75
19286	Coding	796	GTAAAGTCTCCTGCACTG	30.62	76
19287	Coding	806	TGGCATCCATGTAAAGTC	65.03	77
19288	Coding	810	CGGTTGGCATCCATGTAA	34.49	78
19289	Coding	834	CTCTTTGCCATCCTCCTG	41.84	79
19290	Coding	861	CTGTCTCTCCTGCACTGA	25.68	80
19291	Stop	873	GGTGCAGCCTCACTGTCT	76.27	81
19292	3' UTR	910	AACTGCCTGTTTGCCAC	63.34	82
19293	3' UTR	954	CTTCTGCCTGCACCCCTG	0.00	83
19294	3' UTR	976	ACTGACTGGGCATAGCTC	11.55	84

**[0167]** As shown in Table 3, SEQ ID NO: 1, 2, 3, 6, 7, 8, 9, 10, 11, 12, 13, 15, 20, 23, 25, 26, 27, 31, 32, 33, 35, 37, 40, 41, 46, 47, 49, 52, 53, 54, 57, 58, 59, 60, 65, 71, 73, 74, 77, 81 and 82 demonstrated at least 50% inhibition of CD40 expression in this experiment and are therefore preferred.

#### **Example 18:**

#### **Correlation of Quantitative Real-time PCR Measurements of RNA Levels with Northern Analysis of RNA Levels**

**[0168]** The reduction of CD40 mRNA levels by the oligonucleotide compounds in Tables 2 and 3 was also demonstrated by Northern blot analysis of CD40 mRNA from

oligonucleotide treated cells, as described in Example 13. The RNA measurements made by Northern analysis were compared to the RNA measurements obtained using quantitative real-time PCR, using averaged data from three experiments in each case.

**[0169]** When the phosphorothioate oligodeoxynucleotides shown in Table 2 were tested by Northern blot analysis, SEQ ID Nos 1, 2, 3, 7, 25, 31, 32, 37, 43, 47, 58, 64 and 82 were determined to reduce CD40 mRNA levels by at least 75% and are therefore preferred. Of these, SEQ ID Nos 1, 64 and 82 reduced CD40 mRNA levels by at least 80%.

**[0170]** The correlation coefficient for the results of quantitative real-time PCR vs. Northern blot analysis for the phosphorothioate oligodeoxynucleotides was found to be 0.67.

**[0171]** When the phosphorothioate 2'-MOE chimeric oligonucleotides shown in Table 3 were tested by Northern blot analysis, SEQ ID Nos 1, 2, 3, 5, 7, 10, 20, 25, 26, 27, 31, 32, 33, 35, 37, 40, 46, 47, 49, 52, 54, 58, 59, 60, 73, 81 and 82 were determined to reduce CD40 mRNA levels by at least 90% and are therefore preferred. Of these, SEQ ID Nos 1, 2, 20, 31 and 58 reduced CD40 mRNA levels by at least 95%.

**[0172]** The correlation coefficient for quantitative real-time PCR vs Northern blot results for the phosphorothioate 2'-MOE chimeric oligonucleotides was 0.78.

**EXAMPLE 19:****Oligonucleotide-Sensitive Sites of the CD40 Target Nucleic Acid**

**[0173]** As the data presented in the preceding examples shows, several sequences were present in preferred compounds



of two distinct oligonucleotide chemistries. Specifically, compounds having SEQ ID NOS: 1, 2, 7, 47 and 82 are preferred in both instances. These compounds are believed to define accessible sites of the target nucleic acid to various antisense compositions and are therefore preferred. For example, SEQ ID NOS: 1 and 2 overlap each other and both map to the 5-untranslated region (5'-UTR) of CD40. Accordingly, this region of CD40 is particularly preferred for modulation via sequence-based technologies. Similarly, SEQ ID NOS: 7 and 47 map to the open reading frame of CD40, whereas SEQ ID NO: 82 maps to the 3'-untranslated region (3'-UTR). Thus, the ORF and 3'-UTR of CD40 may be targeted by sequence-based technologies as well.

[0174] It has been shown, furthermore, that certain target sequences on the CD40 mRNA are particularly suitable to antisense targeting. The reverse complements of the active CD40 compounds, e.g., the sequence on the CD40 nucleic acid target to which the active antisense compounds are complementary, are easily determined by those skilled in the art and may be assembled to yield nucleotide sequences corresponding to favorable sites on the target nucleic acid. For example, when the antisense sequences shown in Tables 1-3 were mapped onto the CD40 mRNA sequence [Stamenkovic et al., *EMBO J.*, 8, 1403 (1989); GenBank accession number X60592], in some instances it was found in some cases that all the oligonucleotides targeted to a particular sequence region of CD40 (usually called a "footprint") were active. Therefore, this footprint region is particularly preferred for antisense targeting, and oligonucleotide sequences hybridizable to this footprint are preferred compounds of the invention. A library of this information is compiled and may be used by those skilled in the art in a variety of

sequence-based technologies to study the molecular and biological functions of CD40 and to investigate or confirm its role in various diseases and disorders.

[0175] An example of such a compilation is shown in Table 4, in which the antisense sequences shown in Tables 1-3 are mapped onto the CD40 mRNA sequence [Stamenkovic et al., *EMBO J.*, 8, 1403 (1989); GenBank accession number X60592]. The antisense sequences (SEQ ID NO: 1, 2, 3, 6, 7, 8, 9, 10, 11, 12, 13, 15, 20, 23, 25, 26, 27, 31, 32, 33, 35, 37, 40, 41, 46, 47, 49, 52, 53, 54, 57, 58, 59, 60, 65, 71, 73, 74, 77, 81 and 82) which were determined by real-time quantitative PCR assay to be active as inhibitors of CD40 are shown in **bold**. Examples of "footprint" sequences on the CD40 mRNA sequence to which a series of active oligonucleotides bind are also shown in **bold**. These "footprint" sequences and antisense compounds binding to them (including those not shown herein) are preferred for targeting.

Table 4

SEQ ID NO:

Table 4, continued

[illegible]

Table 4, continued

44	361	375	376	390	391	405	406	420	421	435	436	450
43	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----GATTG
42	-----	-----	-----	-----	-----	-----	-----	-----	-----	TTTG	GGGTCAAGCAGATT	-----
41	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
40	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
39	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
38	---	AAGAGGCTGGC	ACTGTA	-----	-----	-----	-----	-----	-----	-----	-----	-----
37	GTGAAGAGCCTGGC	AC	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
36	GTGAA	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
35	GT	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
X60592-CD40	GTGAAGAAGGCTGGC	ACTGTACGAGTGAGG	CCTGTGAGAGCTGTG	TCC	TCCTGCACCGCTC	-----	-----	-----	-----	-----	-----	-----
56	451	465	466	480	481	495	496	510	511	525	526	540
55	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----GA
54	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----ACCCTTGGA
53	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
52	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
51	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
50	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
49	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
48	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
47	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
46	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
45	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
44	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
X60592-CD40	CTACAGGGGTTTCTG	ATACCAATCTGCGAGC	CCTGCCAGTCCGGCT	TCTTCTCCAATGTGT	CATCTGCTTTTCGAAA	AATGTCACCCCTTGGG	-----	-----	-----	-----	-----	-----

Table 4, continued

65	541	555	556	570	571	585	586	600	601	615	616	630
	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----AGCCC
64	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----CTGAGAGCCC
63	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----GTCCCCAGG	ATCGGCTGA	-----
62	-----	-----	-----	-----	-----	-----	-----	-----GTTG	TCTGTGGTCCCCAG	-----	-----	-----
61	-----	-----	-----	-----	-----	-----	-----	-----GACTGATGTTG	TCTGTGG	-----	-----	-----
60	-----	-----	-----	-----	-----	-----GGCAGGCACAA	ACAAGAC	-----	-----	-----	-----	-----
59	-----	-----	-----	-----C	AACAGGCAGGCACAA	AC	-----	-----	-----	-----	-----	-----
58	-----	-----	-----	-----GACCTGGTTGTGC	AACAG	-----	-----	-----	-----	-----	-----	-----
57	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
56	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
55	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
54	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
X60592-CD40	CAAGCTGTGAGACCA	AAGACCTGGTTGTGC	AACAGGCAGGCACAA	ACAAGACTGATGTTG	TCTGTGGTCCCCAGG	ATCGGCTGAGAGCCC	-----	-----	-----	-----	-----	-----
69	631	645	646	660	661	675	676	690	691	705	706	720
	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----CCA	AGAAGCCCAACCAATA	-----
68	-----	-----	-----	-----	-----	-----	-----	-----TGCTGGTCTTTA	TCAAAA	-----	-----	-----
67	-----	-----	-----	-----	-----	-----CATCCTCT	TGGTGCTGGT	-----	-----	-----	-----	-----
66	-----	-----	-----	-----ATCC	TGTTGCCATCCTC	-----	-----	-----	-----	-----	-----	-----
65	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
64	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
X60592-CD40	TGGTGGTGATCCCCA	TCATCTTCGGGATCC	TGTTTGCCATCCTCT	TGGTGCTGGTCTTTA	TCAAAAAGGTGGCCA	AGAAGCCCAACCAATA	-----	-----	-----	-----	-----	-----

Table 4, continued

78	721	735	736	750	751	765	766	780	781	795	796	810
	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----T
77	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----GACTT
76	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----CAGTGCAGGAGACTT
75	-----	-----	-----	-----	-----	-----	-----	-----T	CCAACACTGCTGCTC	CA	-----	-----
74	-----	-----	-----	-----	-----	-----	-----	-----	CCA	-----	-----	-----
73	-----	-----	-----	-----	-----	-----	-----	-----	CC	-----	-----	-----
72	-----	-----	-----	-----	-----	-----	-----	-----	CC	-----	-----	-----
71	-----	-----	-----	-----	-----	-----	-----	-----	CC	-----	-----	-----
70	-----	-----	-----	-----	-----	-----	-----	-----	CC	-----	-----	-----
X60592-CD40	AGGCCCCCACCCCA	AGCAGGAACCC	AGATCAATTTTCCCG	AGATCAATTTTCCCG	AGATCAATTTTCCCG	AGATCAATTTTCCCG	AGATCAATTTTCCCG	AGATCAATTTTCCCG	AGATCAATTTTCCCG	AGATCAATTTTCCCG	AGATCAATTTTCCCG	AGATCAATTTTCCCG
81	811	825	826	840	841	855	856	870	871	885	886	900
80	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
79	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
78	TACATGGATGCCAAC	CG	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
77	TACATGGATGCCA	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
86	TAC	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
X60592-CD40	TACATGGATGCCAAC	CGGTCACCCAGGAGG	ATGGCAAGAGAGTC	GCATCTCAGTGCAGG	GCATCTCAGTGCAGG	GCATCTCAGTGCAGG	GCATCTCAGTGCAGG	GCATCTCAGTGCAGG	GCATCTCAGTGCAGG	GCATCTCAGTGCAGG	GCATCTCAGTGCAGG	GCATCTCAGTGCAGG
84	901	915	916	930	931	945	946	960	961	975	976	990
83	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
82	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
X60592-CD40	TGTGGCCACGTGGGC	AAACAGGCAGTT	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
84	991	1004	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
X60592-CD40	AGT	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
	AGTCCAGCCCCCTC	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

**Example 20****PNA Synthesis**

[0176] Peptide nucleic acids (PNAs) can be prepared in accordance with any of the various procedures referred to in Peptide Nucleic Acids (PNA): Synthesis, Properties and Potential Applications, *Bioorganic & Medicinal Chemistry*, 1996, 4, 5-23. They may also be prepared in accordance with U.S. Patents 5,539,082, 5,700,922, 5,719,262 and 6,395,474, herein incorporated by reference.

**Method A**

[0177] PNA oligomers are synthesized in 10 µmol scale on a 433A Applied Biosystems Peptide Synthesizer using commercially available t-butyloxycarbonyl/benzyloxycarbonyl (Boc/Cbz)-protected monomers (Applied Biosystems) and synthesis protocols based on previously published procedures. The coupling efficiency is monitored by qualitative Kaisertest.

**Method B**

[0178] PNA oligomers were synthesized manually using a LabMate 24 parallel synthesizer (Advanced Chemtech) as described for single compound synthesis (Christensen et al, 1995, Koch et al, 1997). Synthesis was performed on solid phase, in 10 µmol scale using a preloaded Boc-Lys(2-Cl-Z)-OH MBHA resin LL (NovaBiochem, 01-64-0006) and commercially available tert-butyloxycarbonyl/benzyloxycarbonyl (Boc/Cbz) protected PNA monomers (Perseptive Biosystems, GEN063010, GEN063011, GEN063012, GEN063013). The MBHA resin was downloaded by preactivation with HBTU (14 eq), N-methyl morpholine (14 eq) and Boc-Lysine (2-Cl-Z)-OH (7 eq) in NMP and loading subsequently determined using standard loading determination via Fmoc measurement (Nova Biochem Catalog, 2003). Completion of coupling was verified by randomized sampling and qualitative Kaiser test. An additional coupling



step was included when Kaiser test was non-conclusive. PNAs were deprotected and cleaved in parallel using methods previously applied to single compound synthesis (Christensen et al, 1995; Koch et al, 1997). Purification was performed on a Gilson HPLC system (215 liquid handler, 155 UV/VIS and 321 pump), by reverse phase high performance liquid chromatography (RP-HPLC), using a DELTA PAK (C-18, 15  $\mu$ m, 300 Å, 300x7.8 mm, 3 mL/min). A linear gradient from solvent A: 0.1% trifluoroacetic acid (Aldrich, T6,220-6) in water to B: 0.1 % trifluoroacetic acid in acetonitrile (Burdick & Jackson, AH015-4) was used as the liquid phase. Purity was determined by analytical HPLC (0.1 % trifluoroacetic acid in acetonitrile) and composition confirmed by mass spectrometry. A purity level of greater than 95% was generally accomplished. Samples were lyophilized on a FreezeZone 6 (LABCONCO, equipped with a chamber to accommodate racks).

**Example 21****Cationic conjugated PNA****Method A**

[0179] PNA-lysine conjugates were synthesized in 10  $\mu$ mol scale in parallel on a LabMate 24 parallel synthesizer (Advanced Chemtech) using a solid support bound PNA that was synthesized as described above (Christensen et al, 1995, Koch et al, 1997). The quality of the PNA synthesis was checked prior to peptide conjugation by cleavage and QC of a fraction of the PNA from the support. Peptide synthesis was performed by standard solid-phase *tert*-butoxycarbonyl (Boc) strategy on support bound PNA, leading to lysine conjugation at the N-terminal end of the PNA. In addition to the N-terminal cationic conjugate each PNA also may contain one or more amino

acids, as for example a further lysine unit, at the C-terminus due to the fact that synthesis is performed on Boc-Lys(Z-Cl-Z)OH MBHA resin. The PNA-peptide constructs were synthesized, deprotected and cleaved in parallel. Purification was performed by reversed phase high performance liquid chromatography (RP-HPLC). Purity and composition were determined/confirmed by electrospray ionization mass spectrometry. Synthesis on a 10  $\mu$ mol scale typically yields >20 mg of PNA oligomer with a purity level of greater than 95%. Samples were lyophilized on a FreezeZone 6 (LABCONCO, equipped with a chamber to accommodate racks).

#### Method B

**[0180]** The PNA part of the conjugates is assembled using an automated 433 A peptide synthesizer (Applied Biosystems<sup>®</sup>) and commercially available tert-butyloxycarbonyl/benzyloxycarbonyl (Boc/Cbz) protected PNA monomers (Applied Biosystems) according to the published procedures of L. Christensen, et al. (1995), *J. Pept. Sci.* **1**, 175-183; and T. Koch, et al. (1997), *J. Pept. Res.* **49**, 80-88, for PNA synthesis (Boc chemistry). The synthesis is performed in a 400  $\mu$ mol scale on MBHA LL polystyrene resin (NovaBiochem), pre-loaded with Boc-Lys(2-Cl-Z)-OH (NovaBiochem) to about 0.1-0.2 mmol/g.

**[0181]** The synthesis of the peptide part of the conjugate is carried out by either Fmoc- or Boc-chemistry, according to standard procedures for solid phase peptide synthesis. For deprotection and cleavage one vol. of a solution of TFA/DMS/m-cresol (1:3:1) is mixed with one vol. of TFA/TFMSA (9:1) and added to the resin. After 1 h of shaking the resin is washed with TFA and one vol. of TFA/TFMSA/m-cresol (8:2:1) was added and the suspension is shaken for another 1.5-6 h. The filtrate is then added to a 10-fold volume of cold diethylether, mixed and centrifuged. The supernatant is removed and the pellet is

resuspended in ether. This is repeated three times. The pellet is dried and re-dissolved in water or 0.1% TFA for HPLC purification.

**[0182]** Purification is performed on a Gilson HPLC system (215 liquid handler, 155 UV/VIS and 321 pump), by reverse phase high performance liquid chromatography (RP-HPLC), using a Zorbax (C-3, 5  $\mu$ m, 300 Å, 250x7.8 mm, 4 mL/min). A linear gradient from solvent A: 0.1% heptafluorobutyric acid in water to B: acetonitrile is used as the liquid phase. Purity is determined by analytical HPLC and composition confirmed by electrospray mass spectrometry. Samples are lyophilized and stored at -20°C prior to use.

**[0183]** PNA oligomeric conjugates incorporating D-lysine, L-dimethyllysine, D-dimethyllysine, L-histidine, D-histidine, L-ornithine, D-ornithine, L-homoarginine, D-homoarginine, L-norarginine, D-norarginine, L-homohomoarginine, D-homohomoarginine, lysine peptoid, 2,4-diamino butyric acid, homolysine or beta-lysine are prepared in like manner using Boc blocked histidine, ornithine, arginine, D-lysine, diaminobutyric and arginine amino acids precursors except as outlined below in the remainder of this example. Other blocking groups can also be selected to protect the amino acid units during synthesis of the conjugate groups.

#### PNA-Peptoid Conjugates

**[0184]** Oligomers of N-substituted glycines, or "peptoids" are a class of unnatural peptide analogs that resist protease degradation. For the monomer synthesis N-Z-1.4 diaminobutane (5 g, 19.3 mmole) was dissolved in 200 ml dry pyridine and 20 ml DMSO were added. To this solution triethylamine (66.5mmole, 9.24ml) was added. Methylbromoacetate (0.871 ml, 9.5 mmole) was diluted in 50 ml dry DMF and added dropwise to the mixture over 3h, which was then stirred for another 16h. Di-tert-butyl

dicarbonate (29 mmole, 6.33 g dissolved in 20 ml DCM) was added dropwise under stirring and was allowed to react overnight. The resulting compound was extracted with ethyl acetate and identified by TLC. After evaporating the solvents, the compound was saponified with LiOH (0.5 M, THF/MeOH/H<sub>2</sub>O 1:1:1). The solution was acidified with HCl (3 M) and was extracted with DCM and identified by TLC, Proton NMR and LC-MS. The PNA-peptoid-conjugates were synthesized, deprotected, purified and characterized as described above.

PNA-Peptide Conjugates Containing L-Homo-Arginine and L-Bis homo Arginine

[0185] Bis homoarginine is also known and described in this application as homohomoarginine. For the synthesis of L-homo-arginine- and L-bishomo-arginine-conjugated PNA, Boc-L-lysine(Fmoc)-OH and Boc-L-homo lysine(Fmoc)-OH were used as the initial building blocks and were converted postsynthetically into L-homo-arginine and L-bishomo arginine, respectively. The PNA-Peptide-conjugates were synthesized using Boc-chemistry as described above in this example. After synthesis the Fmoc-protecting groups of the peptide were removed with 20% Piperidine in DMF. The free Amino-groups of the peptide-carrier were guanidinylation by adding a solution of pyrazole carboxamidine-HCl (0.27 g) in 0.363 ml DIEA and 0.637 ml DMF to the peptide conjugate on the resin and reacting at 55°C for 24 h. Subsequently, the PNA-Peptide conjugates were deprotected, purified and characterized as described above.

**Disulfide-Containing Conjugates**

[0186] The peptide part of the conjugate (H-(dK)<sub>8</sub>-Cys-NH<sub>2</sub>) was synthesized by solid phase synthesis on a Sieber Amide Resin (NovaBiochem) using standard peptide synthesis

conditions (Fmoc chemistry). After acidic cleavage from the resin (TFA/m-cresol/triisopropylsilane/H<sub>2</sub>O, 94:2,5:1:2.5) for 1 h at room temperature, the peptide was precipitated into ice-cold diethylether, the precipitate spun down and washed with ether and dried at 55°C. A solution containing 2,2-Dipyridyl-disulfide (300 µmol) in AcCN (1500 µL) was prepared. To a separate solution of 20% pyridine/H<sub>2</sub>O (3000 µL) was added the peptide H-(dK)<sub>8</sub>-Cys-NH<sub>2</sub> (61 µmol) followed by 1% TEA/H<sub>2</sub>O to obtain a pH of roughly 8.7. The solution containing the peptide was immediately added to the dipyridyl-disulfide solution. The reaction mixture was allowed to stir for 18 h. The solvents were removed *in vacuo* and the desired peptide containing a pyridyldisulfide-activated thiol group was purified by RP-HPLC.

**[0187]** The PNA part of the conjugates were synthesized on a previously prepared Boc-PNA-K-MBHA polystyrene resin. Fmoc chemistry was utilized to install the ethylene oxide spacer (O) and the cysteine or penicillamine residue. The resulting thiol-containing compound was cleaved from the resin using the above-described Hi/Low TFMSA cleavage conditions and purified using RP-HPLC as described above. For conjugation, the activated peptide was dissolved in 10% pyridine/H<sub>2</sub>O (10 mM, 1.5 mL) and the thiol-containing PNA was dissolved in 20% pyridine/H<sub>2</sub>O (0.1 mM, 7.5 mL) and the pH was adjusted to 10 using 1% TEA/H<sub>2</sub>O (2 mL). The two solutions were immediately combined while shaking. The pH of the combined solution was 8.2. The reaction was allowed to continue for 18 h. The solvents were removed *in vacuo* and the desired conjugates were purified by RP-HPLC as described above.

**Example 22****Cell culture, harvest and transfection**

[0188] BCL<sub>1</sub> cells were obtained from the American Type Culture Collection and grown in normal growth medium (Dulbecco's modified Eagle medium, supplemented with 10% fetal bovine serum, and antibiotics). Cells were incubated in a humidified chamber at 37°C, containing 5% CO<sub>2</sub>. Antisense agents were delivered to cells by electroporation (200 V, 13 W, 1000 mFa) using 0.4 cm gap width cuvettes and a BTX electroporator source. Cells were re-plated in normal growth medium and re-incubated for the indicated times prior to harvest.

[0189] Primary thioglycollate-elicited macrophages were isolated by peritoneal lavage from 6-8 week old female C57Bl/6 mice that had been injected with 1 mL 3% thioglycollate broth 4 days previously. PNAs were delivered to unpurified peritoneal cells by a single 6 ms pulse, 90V, on a BTX square wave electroporator in 1 mm cuvettes. After electroporation, the cells were plated for 1 hour in serum-free RPMI 1640 (supplemented with 10 mM HEPES) at 37°C, 5% CO<sub>2</sub> to allow the macrophages to attach. Non-adherent cells were then washed away and the media was replaced with complete RPMI 1640 (10% FBS, 10 mM HEPES). Primary macrophages were activated by treatment with 100 ng/mL rIFN- $\gamma$  (R&D Systems) for 4 hours, followed by 10  $\mu$ g/mL anti-CD40 antibody (clone 3/23, BD Pharmingen) for the indicated timepoints.

**Example 23****Flow cytometry analysis.**

[0190] Cells were detached from culture plates with 0.25% trypsin. Trypsin was neutralized with an equal volume of

normal growth medium and cells were pelleted. Cell pellets were resuspended in 200  $\mu$ L staining buffer (phosphate buffered saline containing 2% bovine serum albumin and 0.2%  $\text{NaN}_3$ ) containing 1  $\mu$ g either FITC labeled isotype control antibody or FITC labeled anti-CD40 antibody (clone HM40-3, BD Biosciences). Cells were stained for one hour, washed once with staining buffer, and re-suspended in PBS. Where indicated, cells were resuspended in PBS containing 5  $\mu$ g/mL propidium iodide to allow for gating only cells that excluded the dye. CD40 surface expression level was determined using a FACScan flow cytometer (Becton Dickinson).

**Example 24****Toxicity assay**

[0191] Approximately  $10^4$  cells/well were seeded in 96-well plates for 24 h. Media was then replaced with 100  $\mu$ L media containing increasing amounts of free oligonucleotide. After 24 h, MTS (Promega, Madison, WI) was added directly to the culture wells as indicated by the manufacturer and the plates were incubated at 37°C for 2 h. Absorbance at 490 nm was measured and compared with that of mock-treated samples.

**Example 25****Isolation of total RNA and RT-PCR**

[0192] Total RNA was isolated using an RNeasy Mini Kit (Qiagen). Two-step RT-PCR was performed using primers complementary to sequences of the CD40 gene (Genbank accession# M83312, incorporated herein as SEQ ID NO: 92). Reverse transcription was performed using a reverse primer

(5'-TGATATAGAGAAACACCCCGAAAATGG-3'; SEQ ID NO: 93)  
complementary to sequence in exon 7. The resulting cDNA was subjected to 35 cycles of PCR using a forward primer consisting of a sequence span identical to that found in exon 5 of the gene (5'-GCCACTGAGACCACTGATACCGTCTGT-3'; SEQ ID NO: 94) as well as the reverse primer used for cDNA generation. The resulting PCR products were separated on a 1.6% agarose gel. PCR products were excised and the DNA purified. The resulting products were sequenced using primers used in PCR. Real-time quantitative RT-PCR was performed on total RNA from BCL<sub>1</sub> or primary macrophages using an ABI Prism® 7700. Primer and dual labeled probe sequences were as follows:

Mouse IL-12 p40:

forward 5'-GCCAGTACACCTGCCACAAA- 3', SEQ ID No. 95  
reverse 5'-GACCAAATTCCATTTTCCTTCTTG-3', SEQ ID No. 96  
probe 5'-FAM-AGGCGAGACTCTGAGCCACTCACATCTG-TAMRA-3' , SEQ  
ID No. 97

Mouse CD18:

Forward 5'-CTGCATGTCCGGAGGAAATT-3' SEQ ID No. 98  
Reverse 5'-AGCCATCGTCTGTGGCAAA-3' SEQ ID No. 9  
Probe 5'-FAM-CTGGCGCAATGTCACGAGGCTG-TAMRA-3', SEQ ID No.  
100

Mouse CD40, Type 1:

Forward 5'-CACTGATACCGTCTGTCATCCCT-3' SEQ ID No. 101  
Reverse 5'-AGTTCTTATCCTCACAGCTTGCCA-3' SEQ ID No. 102  
Probe 5'-FAM-AGTCGGCTTCTTCTCCAATCAGTCATCACTT-TAMRA-3' SEQ  
ID No. 103

Mouse CD40, Type 2:



Forward 5'-CACTGATACCGTCTGTCATCCCT-3' SEQ ID No. 104  
Reverse 5'-CCACATCCGGGACTTTAAACCTTGT-3' SEQ ID No. 105  
Probe 5'-FAM-CCAGTCGGCTTCTTCTCCAATCAGTCA-TAMRA-3' SEQ ID  
No. 106

**Mouse CD40:**

Forward 5'-TGTGTTACGTGCAGTGACAAACAG-3' SEQ ID No. 107  
Reverse 5'-GCTTCCTGGCTGGCACAA-3' SEQ ID No. 108  
Probe 5'-FAM-CCTCCACGATCGCCAGTGCTGTG-TAMRA-3' SEQ ID No.  
109

**Mouse cyclophilin:**

Forward 5'-TCGCCGCTTGCTGCA-3' SEQ ID No. 110  
Reverse 5'-ATCGGCCGTGATGTCGA-3' SEQ ID No. 111  
Probe 5'-FAM-CCATGGTCAACCCACCGTGTTT-TAMRA-3' SEQ ID No.  
112

**Example 26****Western Blot**

[0193] Cells were harvested in RIPA buffer (phosphate buffered saline containing 1% NP40, 0.1% SDS, and 0.5% sodium deoxycholate). Total protein concentrations were determined by Lowry assay (BioRad) and equal quantities were precipitated with cold acetone by centrifugation. Protein pellets were vacuum dried and resuspended in load dye (Invitrogen) containing 5% mercaptoethanol. Samples were heated to 92°C for 10 minutes prior to gel loading. Protein samples were separated on 10% PAGE Tris-glycine gels and transferred to PVDF membranes. Membranes were blocked with blocking solution (TBS-T containing 5% non-fat dry milk) and blotted with appropriate antibody. The polyclonal CD40 antibody was

obtained from Calbiochem. G3PDH monoclonal antibody was obtained from Advanced Immunochemical, TRADD antibody was obtained from Cell Signalling, and HRP-conjugated secondary antibodies were obtained from Jackson ImmunoResearch. Protein bands were visualized using ECL-Plus (Amersham-Pharmacia).

**Example 27****ELISA assay**

[0194] Levels of mouse IL-12 in the supernatants of activated macrophages were measured with mouse IL-12 p40 + p70 ELISA kit (Biosource), according to the manufacturer's instructions.

**Example 28****Identification of specific PNA and MOE inhibitors of CD40 expression.**

[0195] A panel of oligomers containing either MOE and PNA backbones was synthesized and are shown in Table 5.

**Table 5**  
**Sequences of Uniform 2'-MOEs and PNAs targeting the**  
**murine CD40 pre-mRNA**

ISIS No.		Sequence of PNA/MOE	Target Region	Target site	SEQ ID NO.
PNA	MOE				
208518	208342	GCTAGTCACTGAGCA	5'-UTR	389	113
208519	208343	CAAAGTCCCTGCTAG	5'-UTR	460	114
208520	208344	AGCCACAAGTCACTC	5'-UTR	475	115
208521	208345	AGACACCATCGCAG	Start codon	529	116
208522	208346	GCGAGATCAGAAGAG	5'-UTR	513	117
208523	208347	CGCTGTCAACAAGCA	3'-Exon 1	570	118
208524	208348	CTGCCCTAGATGGAC	5'-Exon 2	60	119
208525	208349	CTGGCTGGCACAAAT	3'-Exon 2	124	120
208526	208350	TGGGTTACAGTGTC	3'-Exon 3	250	121
208527	208351	CATCTCCATAACTCC	3'-Exon 4	396	122
208528	208352	CTTGTCAGGGATAA	3'-Exon 5	491	123
208529	208353	CACAGATGACATTAG	3'-Exon 6	553	124
208530	208354	TGATATAGAGAAACA	3'-Exon 7	640	125
208531	208355	TCTTGACCACCTTTT	5'-Exon 8	655	126
208532	208356	CTCATTATCCTTTGG	3'-Exon 9	672	127
208533	208357	GGTTCAGACCAGG	Stop codon	869	128
208534	208358	AAACTTCAAAGGTCA	3'-UTR	914	129
208535	208359	TTTATTTAGCCAGTA	3'-UTR	1175	130
208536	208360	AGCCCCACGCACTGG	Intron 3	805	131

Table 5 shows Peptide Nucleic Acid (PNA) and 2'-O-methoxyethyl phosphorothioate oligonucleotide (MOE) sequences, their corresponding ISIS numbers, and their placement on the murine

CD40 genome (Genbank Accession No. M94129, provided herein as SEQ ID NO: 132). Sequences are provided in generic form. For PNAs, sequences read from the aminoterminal (H-) to the carboxamide (-NH<sub>2</sub>). Lysine inserted at the carboxamide terminal for all sequences (hence for ISIS 208518, full sequences should read H-GCTAGTCACTGAGCA-Lys-NH<sub>2</sub>). For MOEs, sequences read from 5' to 3'. Purity generally exceeded 95% as assessed by analytical HPLC (UV 260 nm).

**[0196]** These oligomers were designed to regions of the murine CD40 pre-mRNA that could potentially either alter splicing or inhibit translation, both of which are validated non-RNase dependent mechanisms (Sazani et al., Taylor et al., Baker et al. 1991, Chiang et al. 1991, Karras et al.). The MOE and PNA oligomers were delivered by electroporation into BCL<sub>1</sub> cells, a mouse B cell line that constitutively expresses high levels of CD40. Following a 48 hour incubation period, cells were harvested and analyzed for surface expression of CD40 by flow cytometry. The activities of the PNA oligomers were compared to those of the MOE oligomers of identical sequence and length. Isis 29848 (NNNNNNNNNNNNNNNNNNNNNN; SEQ ID NO: 133) and ISIS 117886 (**TCTCACTCCTATCCCAGT**; SEQ ID NO: 134; a 2'- MOE gapmer with phosphorothioate backbone, targeted to murine CD40. 2' MOE shown in bold) were included in each screen as negative and positive controls, respectively, for RNase H-mediated CD40 inhibition. The results are shown in Table 6 expressed as percent of control (no oligo treatment).

Table 6

Effect of PNA and Uniform 2' MOE Oligomers on CD40 expression

MOE	CD40 Expression (% of control)	PNA	CD40 Expression (% of control)
no oligomer	100	no oligomer	100
29848	89	29848	100
117886	32	117886	38
208342	90	208518	114
208343	88	208519	96
208344	86	208520	82
208345	64	208521	61
208346	79	208522	92
208347	40	208523	50
208348	48	208524	71
208349	61	208525	77
208350	90	208526	105
208360	75	208536	98
208351	90	208527	128
208352	50	208528	58
208353	26	208529	34
208354	50	208530	49
208355	66	208531	86
208356	42	208532	62
208357	86	208533	78
208358	92	208534	116
208359	62	208535	103

[0197] Sequences (SEQ ID NO: 116, 117, 118, 119, 120, 123, 124, 125, 127, 128, 130, 131) of compounds showing over 20% inhibition of CD40 expression (levels of 80% or less in Table 6) are preferred.

[0198] There was a strong correlation between the activities of PNA and MOE oligomers designed to the same target sites, as demonstrated by both paired sample t-test and Spearman rank correlation ( $p < 0.001$ , in both cases). These results demonstrate that the sequence dependence of CD40 inhibitory activity is similar for MOE and PNA based inhibitors. Inhibitors based on MOE and PNA backbone chemistry were found to be of equal efficacy as determined by the flow

cytometry. A PNA targeted towards the 3' end of exon 6, ISIS 208529 (SEQ ID NO: 124), was found to be the most active sequence. The corresponding MOE sequence, ISIS 208353 was also the most active within the series of MOE compounds. To further assess the specificity of ISIS 208529, CD40 levels were measured by western blot from BCl<sub>1</sub> cells electroporated with either the parent PNA (ISIS 208529), a PNA containing a four base mismatch (ISIS 256644; CACTGATCAGATAAG; SEQ ID NO: 135), or one of two PNAs of unrelated sequences (ISIS 256645; ACTAGTGCTAGCGTC; SEQ ID NO:136, and ISIS 256646; CGTCATGATACCGAT; SEQ ID NO: 137). In each case, protein was harvested and analyzed 48 hours after electroporation. Using an antibody specific for the C-terminal region of the CD40 Protein, western blot analysis showed that none of the three mismatched PNAs affected CD40 expression, whereas the inhibition of CD40 expression by ISIS 208529 was confirmed.

**Example 29****Mode of action of the PNA inhibitor ISIS 208529.**

**[0199]** The target sequence for ISIS 208529 is located on the 3' end of exon 6 of the primary murine CD40 transcript, abutting the splice junction, and is therefore likely to affect splicing. The naturally occurring splice forms of murine CD40 have been previously described (Tone, M., Tone, Y., Fairchild, P. J., Wykes, M., and Waldmann, H. (2001) *Proc. Natl Acad. Sci. U.S.A.* **98**, 1751-1756). The type 1 transcript, which retains exon 6, is the predominant form. Its translation product is the canonical membrane-bound, signaling-competent CD40 protein. The type 2 transcript is lower in abundance and does not contain exon 6. The omission of exon 6 causes a frame shift in codons contained in exons 7, 8, and 9, and leads to mistranslation of the sequence encoding for the transmembrane

domain and truncation of the protein due to a now in-frame stop codon in exon 8. The presence of the type 2 transcript interferes with CD40 signaling. Tone et al., 2001. In order to verify the mechanism by which ISIS 208529 reduces the expression of cell surface CD40 expression, RT-PCR was performed on RNA isolated from both treated and untreated cells using primers seated in exons 5 and 7. A sequence specific, PNA mediated shift in the relative abundance of the two splice forms was observed upon treatment with ISIS 208529. No change in relative abundance in splice forms was observed in cells treated with the four base mismatched PNA, ISIS 256644. The identities of the splice forms were verified by sequencing of the two RT-PCR products.

### Example 30

#### Evaluation of PNAs targeting sequences surrounding the binding site for ISIS 208529.

[0200] Further optimization of inhibitor binding was performed by designing additional PNA oligomers targeted to sites adjacent to the ISIS 208529 binding site. The PNA oligomers were designed to bind to 15 nt spans of target RNA within a range of 10 nt upstream and downstream of the ISIS 208529 binding site on the primary transcript as shown in Table 7.

Table 7

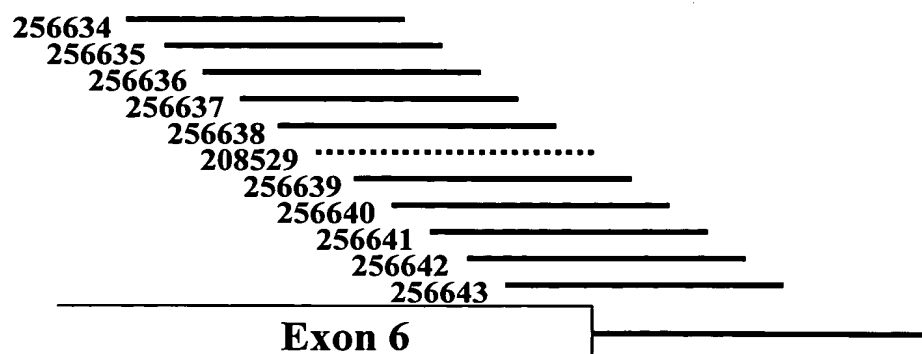
#### Optimization of PNA oligomers targeted to CD40

Isis #	Sequence	SEQ ID No.
208529	H-CACAGATGACATTAG-Lys-NH <sub>2</sub>	124
256634	H-ATTAGTCTGACTCGT-Lys-NH <sub>2</sub>	138
256635	H-ACATTAGTCTGACTC-Lys-	139

256636	H-TGACATTAGTCTGAC-Lys-	140
256637	H-GATGACATTAGTCTG-Lys-	141
256638	H-CAGATGACATTAGTC-Lys-	142
256639	H-CTGGACTCACCACAG-Lys-	143
256640	H-GGACTCACCACAGAT-Lys-	144
256641	H-ACTCACCACAGATGA-Lys-	145
256642	H-TCACCACAGATGACA-Lys-	146
256643	H-ACCACAGATGACATT-Lys-	147
256644	H-CACTGATCAGATAAG-Lys-	135
256645	H-ACTAGTGCTAGCGTC-Lys-	136
256646	H-CGTCATGATACCGAT-Lys-	137
286242	H-ACATTAG-Lys-	148
286243	H-GACATTAG-Lys-	149
286244	H-TGACATTAG-Lys-	150
286245	H-ATGACATTAG-Lys-	151
286246	H-GATGACATTAG-Lys-	152
286247	H-AGATGACATTAG-Lys-	153
286248	H-CAGATGACATTAG-Lys-	154
286249	H-ACAGATGACATTAG-Lys-	155
298841	H-CCACAGATGACATTAG-Lys-	156
298842	H-ACCACAGATGACATTAG-Lys-	157
298843	H-CACCACAGATGACATTAG-Lys-	158
298844	H-TCACCACAGATGACATTAG-Lys-	159
298845	H-CTCACCACAGATGACATTAG-Lys-	160



The sequences align as shown below.



[0201] The activities of the resulting ten PNAs, as well as that of ISIS 208529, were evaluated in parallel by western blot. Eight of the ten PNAs (SEQ ID NO: 138, 139, 142, 143, 144, 145, 146, and 147) demonstrated a level of activity similar to that of ISIS 208529, and are therefore preferred. Two PNAs, ISIS 256636 and ISIS 256637 (SEQ ID NO: 140 and 141), positioned slightly upstream from the 3' exon 6 splice site, failed to inhibit CD40 expression. Examination of the primary sequences of the two inactive PNAs did not reveal any obvious features, such as a high guanosine content, that might promote the formation of undesirable secondary structure. Likewise, the RP-HPLC elution profiles for these two compounds did not indicate a tendency for self-aggregation. Furthermore, examination of the target RNA sequence did not reveal secondary structure that might limit target accessibility.

### Example 31

#### The effect of PNA length on CD40 inhibitory activity.

[0202] The effect of PNA length on activity was assessed by systematic variation of length of the PNA inhibitor from 7 to 20 monomer units. For the initial examination of length effects, 13 PNAs were designed and synthesized (Table 3). The

first set, consisting of PNAs of 7 to 14 units in length, were all targeted to portions of the binding site of ISIS 208529. Each of these compounds as well as the 15-mer parent, ISIS 208529, were electroporated into BCL<sub>1</sub> cells at a final concentration of 10  $\mu$ M. Three days following delivery, the cells were harvested and analyzed by western blot for CD40. G3PDH protein levels were also measured to verify equal protein loading. While no apparent reduction in CD40 levels was observed in cells treated with compounds ranging from 7-11 units in length, inhibition of CD40 expression was observed with compounds ranging from 12-15 units in length. The efficacy of the PNA inhibitors was found to increase with increasing length, up to a PNA length of about 14 units, where efficacy reached a level similar to that displayed by the lead 15-mer PNA, ISIS 208529. Subsequently, a second set of PNAs was examined covering a range of 12 to 20 units in length. PNAs were electroporated into BCL<sub>1</sub> cells at various concentrations to determine their relative potencies. Compounds were evaluated for their ability to inhibit CD40 cell surface expression by flow cytometry. Potency was found to increase with increasing length, reaching a plateau at 14 unit length, beyond which no additional gain was detected upon increasing length. This observation suggests that the potency of ISIS 208529 is not limited by its length, and that potency cannot be improved by increasing the length of this PNA. At this target site, EC<sub>50</sub> values were in the range of 0.6 to 0.9  $\mu$ M for all PNAs of 14 units or longer as is shown in Table 8 where EC<sub>50</sub> values and 95% confidence intervals were determined by nonlinear regression analysis using a defined top and bottom of 400 and 100, respectively.

Table 8

**Effect of length on PNA oligomer inhibitory activity**

Length	ISIS NO.	EC50	95% confidence interval
12	286247	21.1	13.0 to 34.4
13	286248	1.85	1.59 to 2.16
14	286249	0.90	0.78 to 1.04
15	208529	0.87	0.75 to 1.00
16	298841	0.58	0.40 to 0.83
17	298842	0.79	0.50 to 1.23
18	298843	0.86	0.71 to 1.03
19	298844	0.57	0.44 to 0.74
20	298845	0.71	0.52 to 0.97

**Example 32****Dose and time dependence of CD40 inhibitory activity by ISIS 208529.**

[0203] The dose dependent reduction of cell surface CD40 protein upon treatment of BCL<sub>1</sub> cells with ISIS 208529 (SEQ ID NO: 124) was evaluated by flow cytometry and was further supported by verification of CD40 protein depletion by western blot. Specificity was verified by inclusion of a PNA containing a four base mismatch (ISIS 256644; SEQ ID NO: 135). ISIS 208529 showed an increasing dose response curve across a concentration range of 16, 8, 4, 2, 1, 0.5 and .25  $\mu$ M range where as the mismatch compound did not. In order to assess the effect of ISIS 208529 over time, western blot analysis was applied to study the effect of a single dose (10  $\mu$ M) of ISIS 208529 for eight days following electroporation. Maximal inhibition of CD40 expression was observed four days post treatment and persisted for at least five days. At day eight, the level of CD40 expression was back to values found for the

no-treatment control. No change in CD40 expression levels was observed in cells treated with the four base mismatched PNA (ISIS 256644).

### **Example 33**

#### **Inhibitory activity of ISIS 208529 on CD40 dependent IL-12 production in primary murine macrophages.**

[0204] The functional consequences of PNA-induced alternative splicing in primary murine macrophages were examined. Thioglycollate-elicited mouse peritoneal cells were electroporated with various doses of ISIS 208529 (SEQ ID NO: 124) or with the PNA containing a four base mismatch, ISIS 256644 (SEQ ID NO: 135). After electroporation, macrophages were selected by adherence to tissue culture plates and treated with IFN- $\alpha$  for 4 hours to induce CD40 cell surface expression, and then stimulated with an activating CD40 antibody for 24 hours. CD40 signaling in macrophages results in production of multiple cytokines, including IL-12. The level of IL-12 in the supernatant of PNA-treated macrophages after CD40 activation was examined by an ELISA assay. Electroporation of the macrophages with ISIS 208529 resulted in a dose-dependent reduction in IL-12 production. Delivery of 3  $\mu$ M ISIS 208529 to macrophages by electroporation resulted in 75% inhibition of IL-12 production compared to macrophages electroporated with no PNA. A maximal inhibition of 85% relative to the untreated control was obtained with 10  $\mu$ M ISIS 208529. Macrophages electroporated with the mismatch control PNA (ISIS 256644) showed no decrease in IL-12 production in response to PNA treatment. Examination of the level of CD40 protein by western blot showed a dose dependent reduction in CD40 protein following treatment with ISIS 208529, which correlated to the decrease in IL-12 production. No reduction

in CD40 protein was found after treatment with the mismatch control ISIS 256644. Examination of the CD40 splice forms by quantitative RT-PCR showed a 70% decrease in the predominant type 1 splice form, and a 2-fold increase in the alternative, type 2 splice form, at 3  $\mu$ M ISIS 208529. The four base mismatch control, ISIS 256644, had no significant effect on the relative abundance of the CD40 splice forms, indicating that inhibitory activity was dependent on Watson-Crick complementarity.

**Example 34****Effect of ISIS 208529 peptide conjugation on CD40 cell surface expression in BCL<sub>1</sub> cells and in macrophages.**

[0205] In order to obtain a PNA with potential to act without the use of a delivery vehicle, the active PNA, ISIS 208529 (SEQ ID NO: 124), was conjugated with eight lysines at the N-terminus to give ISIS 278647. In BCL<sub>1</sub> cells that were treated with ISIS 278647 at 10  $\mu$ M, the relative abundance of the CD40 type 1 transcript was decreased and the abundance of the type 2 transcript was increased as determined by standard RT-PCR and real-time quantitative RT-PCR. ISIS 278647 caused an 85% decrease in the type 1 transcript and a greater than 3 fold increase in the type 2 transcript. Neither the unconjugated lead PNA (ISIS 208529) nor an eight lysine conjugated, four base mismatched PNA (ISIS 287294; SEQ ID NO: 135) had any effect on the relative abundance of either splice variant or on total CD40 transcript, relative to the untreated control. Analysis of the protein lysates by western blot, using an antibody that recognizes the C-terminal region of the canonical CD40 protein, showed that ISIS 278647 promotes CD40 protein depletion, whereas the unconjugated PNA, ISIS 208529, and the four base mismatched control, ISIS 287294, do not.

These results demonstrate that redirection of splicing and loss of the CD40 protein encoded by the type 1 transcript variant is dependent on both PNA sequence and inclusion of the eight lysine carrier when no delivery vehicle is used.

**[0206]** The effect of lysine conjugation of ISIS 208529 (SEQ ID NO: 124) on CD40 expression, and on the relative abundance of the type 1 and type 2 transcripts, was also examined in primary murine macrophages. Adherent peritoneal macrophages were incubated in with various concentrations of unconjugated or conjugated PNA for 16 hours and CD40 expression then induced by IFN- $\alpha$ . The reduction of CD40 protein in the PNA treated cells was examined by western blot. No reduction in CD40 protein was observed after treatment with ISIS 208529, while a modest reduction in CD40 protein was observed in macrophages treated with a 4 lysine conjugated PNA of the same sequence (ISIS 278643; SEQ ID NO: 124). In contrast, treatment with the eight lysine conjugated CD40 PNA of the same sequence (ISIS 278647) resulted in a dramatic, dose-dependent decrease in CD40 protein. Treatment with ISIS 278647 at 10  $\mu$ M resulted in reduction of CD40 protein to levels undetectable by western blot, indicating that the eight lysine conjugated PNA was readily taken up by the primary macrophages and that carrier conjugation did not prevent the PNA from binding to its target and from attenuating CD40 protein expression. Under similar conditions, an eight lysine conjugated four base mismatch control PNA (ISIS 287294; SEQ ID NO: 135) caused no reduction in CD40 protein, indicating that the observed reduction in CD40 protein is sequence specific. Analysis of the CD40 splice forms by quantitative RT-PCR demonstrated that the eight lysine conjugated CD40 PNA (ISIS 278647) caused a substantial reduction in CD40 type 1 mRNA with a concomitant 5-fold induction of the CD40 type 2 transcript. The eight lysine

conjugated four base mismatch PNA (ISIS 287294) had no significant effect on the relative levels of the type 1 and type 2 splice forms.

### Example 35

#### Inhibitory Activity of Further PNA Cationic Conjugate Compounds Against CD40

[0207] A series of PNA conjugate compounds of identical sequence to ISIS 208529, i.e., CACAGATGACATTAC; Seq ID NO. 124, were prepared and tested in BCL-1 cells using flow cytometry for free uptake at 10  $\mu$ M (FACS). The following abbreviations are used to identify the components of each of the conjugates: (C) = C-terminal, (N) = N-terminal, aca = 6-aminocaproic acid, aoc = amino octanoic acid,  $\beta$ A = beta-alanine,  $\beta$ K = beta-lysine, aca = amino hexanoic acid, adc = amino dodecanoic acid, O = 8-amino-3,6-dioxaoctanoic acid, Dab = L-2,4-diaminobutyric acid, Ci = L-citrulline, ab = 4-aminobutyric acid, hR = L-homo arginine, hhR = L-homohomo arginine, norR = L-nor arginine, G = glycine, pK = lysine-peptoid, H = L-histidine, DhR = D-homo arginine, dR = d-arginine, inp = isonipecotic acid, amc = 4-aminomethyl-cyclohexane carboxylic acid, dmK = L-dimethyl lysine, Pen = penicillamine, Ada = adamantane acetyl, Pam = palmityl, Ibu = (S)-(+)-ibuprofen, CHA = cholic acid, Chol = cholesteryl formyl, mm = mismatch PNA.

[0208] The compounds and test results are as are shown in Table 9.

Table 9  
Additional PNA Cationic Conjugate Compounds of SEQ ID NO: 124

Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in 25% mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
208529-1		K		80, 98, 100	stable	stable
278640-1	K	K		80	<i>n.d.</i>	
278641-1	K <sub>2</sub>	K		90	<i>n.d.</i>	
278642-1	K <sub>3</sub>	K		80	<i>n.d.</i>	
278643-1	K <sub>4</sub> (SEQ ID NO: 161)	K		100	<i>n.d.</i>	
278644-1	K <sub>5</sub> (SEQ ID NO: 162)	K		70	<i>n.d.</i>	
278645-1	K <sub>6</sub> (SEQ ID NO: 163)	K		50	<i>n.d.</i>	
278646-1	K <sub>7</sub> (SEQ ID NO: 164)	K		30	<i>n.d.</i>	
278647-1	K <sub>8</sub> (SEQ ID NO: 165)	K		20, 30, 35, 30, 15	5.7	1.4
287294-1	K <sub>8</sub>	K	4 mm	100	<i>n.d.</i>	
287293-1	K <sub>6</sub>	K	4 mm	100	<i>n.d.</i>	



**ISIS-5315 (ISIS2960US.P2)**
**PATENT**

Isis #-Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 µM)	t <sub>1/2</sub> [h] in 25% mouse serum	Est. t <sub>1/2</sub> [h] in 100% mouse serum
284381-1		K <sub>2</sub>		95	<i>n.d.</i>	
279866-1		K <sub>4</sub>		85	6.5	1.6
284375-1		K <sub>8</sub>		40, 35, 35, 40, 35, 45, 73, 68	1	0.25
290075-1	R	K		100	<i>n.d.</i>	
290076-1	R <sub>2</sub>	K		90	<i>n.d.</i>	
290077-1	R <sub>3</sub>	K		90	<i>n.d.</i>	
290078-1	R <sub>4</sub> (SEQ ID NO: 166)	K		80	<i>n.d.</i>	
290079-1	R <sub>5</sub> (SEQ ID NO: 167)	K		80	<i>n.d.</i>	
297780-1	R <sub>6</sub> (SEQ ID NO: 168)	K		75	<i>n.d.</i>	
290081-1	R <sub>7</sub> (SEQ ID NO: 169)	K		70	<i>n.d.</i>	
290082-2	R <sub>8</sub> (SEQ ID NO: 170)	K		60	3.2	0.8
301010-1	D-R <sub>8</sub>	K		49	<i>n.d.</i>	
299870-1		K <sub>3</sub> RK <sub>2</sub> (SEQ ID NO: 171)		48	<i>n.d.</i>	
299871-1		D(K <sub>3</sub> RK <sub>2</sub> ) (SEQ ID NO: 172)		53	<i>n.d.</i>	
284382-1	K <sub>2</sub>	K <sub>2</sub>		85	<i>n.d.</i>	
279867-1	K <sub>4</sub>	K <sub>4</sub>		75	<i>n.d.</i>	
284383-1	Ada-O	K <sub>2</sub>		80	<i>n.d.</i>	
284384-1	Ada-O-K <sub>2</sub>	K <sub>2</sub>		85	<i>n.d.</i>	

Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in 25% mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
279975-1	Ada-O	K <sub>4</sub>		95	<i>n.d.</i>	
279976-1	Ada-O-K <sub>4</sub>	K <sub>4</sub>		75	<i>n.d.</i>	
284376-1	Ada-O	K <sub>8</sub>		40	<i>n.d.</i>	
284385-1	Pam-O	K <sub>2</sub>		n/a tox.	<i>n.d.</i>	
284386-1	Pam-O-K <sub>2</sub>	K <sub>2</sub>		n/a tox.	<i>n.d.</i>	
283582-1	Pam-O	K <sub>4</sub>		70	<i>n.d.</i>	
283583-1	Pam-O-K <sub>4</sub>	K <sub>4</sub>		60	<i>n.d.</i>	
284377-1	Pam-O	K <sub>8</sub>		n/a tox.	<i>n.d.</i>	
290061-1	Ibu-O	K <sub>2</sub>		80	<i>n.d.</i>	
287086-1	Ibu-O	K <sub>8</sub>		30	1	0.25
311573-1	Ibu-O-K <sub>8</sub>	K		<i>n.d.</i>	<i>n.d.</i>	
290063-1	CHA-O	K <sub>2</sub>		95	<i>n.d.</i>	
290064-1	Chol-O-	K <sub>2</sub>		n/a tox.	<i>n.d.</i>	
292097-1	CHA-O-K <sub>8</sub>	K		55	<i>n.d.</i>	
292098-1	Chol-O-K <sub>8</sub>	K		n/a tox.	<i>n.d.</i>	
298110-1	Branch1-K	K		60	<i>n.d.</i>	
298111-1	Branch3-K	K		85	<i>n.d.</i>	
298112-1	Branch4-K	K		60	<i>n.d.</i>	
298113-1	Branch5-K	K		75	<i>n.d.</i>	
298114-1	Branch6-K	K		70	<i>n.d.</i>	

Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
298116-1	Branch2-K	K		40	<i>n.d.</i>	
303537-1	RacaRRacaRRacaRR	K		23, 29	2	0.5
303540-1	KacaKKacaKKacaKK	K		70	<i>n.d.</i>	
303538-1	RacaRacaRacaRacaRacaRacaR	K		40	<i>n.d.</i>	
309743-1	dR.aca.dR.dR.aca.dR.d R.aca.dR.dR	K		35	<i>n.d.</i>	
303539-1	KacaKacaKacaKacaKacaKacaK	K		61	<i>n.d.</i>	
291341-1	KGKKGK (SEQ ID NO: 173)	K		87	<i>n.d.</i>	
291342-1	KaocKKaocKaocK	K		79	<i>n.d.</i>	
330890-1	hR-O-hR-hR-O-hR-hR- O-hR-hR	K		25 at 3 $\mu$ M	59	12
338896-1	hR-O-R-hR-O-R-hR-O- R-hR	K		49	2	0.5
338897-1	R-O-hR-R-O-hR-R-O- hR-R	K		54	4	1
315570-1	RacaRRacaRRacaRR- PKKKRKV	K		25	<i>n.d.</i>	
315571-1	RacaRRacaRRacaRR- KKVKPKR	K		41	<i>n.d.</i>	
315650-1	PKKKRKV-	K		44	<i>n.d.</i>	

Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in 25% mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
	RacaRRacaRRacaRR					
315573-1	KKVKPKR- RacaRRacaRRacaRR	K		31	<i>n.d.</i>	
309860-1	R- $\beta$ A-RR- $\beta$ A-RR $\beta$ A- RR	K		27	<i>n.d.</i>	
309883-1	R-abu-RR-abu-RR-abu- RR	K		26	<i>n.d.</i>	
309861-1	R-aoc-RR-aoc-RR-aoc- RR	K		25	<i>n.d.</i>	
309864-1	R-aca-RR-aca-RR-aca- RR-aca	K		20	<i>n.d.</i>	
309862-1	R-O-RR-O-RR-O-RR	K		24	2	0.5
309865-1	RR-aca-RR-aca-RR	K		40	<i>n.d.</i>	
309866-1	R-aca-RR-aca-RR	K		58	<i>n.d.</i>	
309884-1	R-inp-RR-inp-RR-inp- RR	K		29	<i>n.d.</i>	
309885-1	R-amc-RR-amc-RR- amc-RR	K		27	<i>n.d.</i>	
291350-2	( $\beta$ K) <sub>8</sub>	K		66	<i>n.d.</i>	
309843-1	$\beta$ K- $\beta$ K-KKKK- $\beta$ K- $\beta$ K	K		52	<i>n.d.</i>	
309844-1	(K- $\beta$ K) <sub>4</sub>	K		62	<i>n.d.</i>	
309845-1	KK- $\beta$ K-KK- $\beta$ K-KK	K		61	<i>n.d.</i>	

Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
303536-1	D-(Om) <sub>8</sub>	K		67	<i>n.d.</i>	
303327-1		(Om) <sub>8</sub>		64	<i>n.d.</i>	
301011-2	(Om) <sub>8</sub>	K		77	> 48	> 12
309143-1	Om-Om-KKKK-Om-Om	K		52	<i>n.d.</i>	
309144-1	(K-Om) <sub>4</sub>	K		42	<i>n.d.</i>	
309145-1	KK-Om-KK-Om-KK	K		34	19	4.75
311069-1	KKKKK-Om-KK	K		50	<i>n.d.</i>	
311070-1	KK-Om-KKKKK	K		53	<i>n.d.</i>	
287292-2	(dK) <sub>8</sub>	K		54	stable	> 48
305390-1	dKdK-KKKK-dKdK	K		60	<i>n.d.</i>	
305391-1	K-dK-K-dK-K-dK-K-dK	K		69	<i>n.d.</i>	
305392-1	KK-dK-KK-dK-KK	K		62	stable	> 48
311071-1	KKKKK-dK-KK	K		61	<i>n.d.</i>	
311072-1	KK-dK-KKKKK	K		59	<i>n.d.</i>	
305393-1	RRKKKKRRR (SEQ ID NO: 174)	K		65	<i>n.d.</i>	
305394-1	KRKRRKR (SEQ ID NO: 175)	K		52	<i>n.d.</i>	
305395-1	KKRKKRKK (SEQ ID NO: 176)	K		43	2.5	0.6

Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in 25% mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
308579-1	(hK) <sub>8</sub>	K		31	18	4.5
308580-1	hKhK-KKKK-hKhK	K		34	<i>n.d.</i>	
308581-1	K-hK-K-hK-K-hK-K-hK	K		32	<i>n.d.</i>	
308582-1	KK-hK-KK-hK-KK	K		31	7.5	1.9
316409-1	(Dab) <sub>8</sub>	K		77	> 48	> 12
316410-1	(Dab) <sub>2</sub> -K-(Dab) <sub>2</sub> -K-(Dab) <sub>2</sub>	K		64	<i>n.d.</i>	
316411-1	(Dab-K) <sub>4</sub>	K		52	<i>n.d.</i>	
316412-1	KK-Dab-KK-Dab-KK	K		38	40	10
316427-1	(K-ab) <sub>8</sub>	K		47	<i>n.d.</i>	
316428-1	(K-(K-ab)) <sub>4</sub>	K		41	<i>n.d.</i>	
316429-1	KK-(K-ab)-KK-(K-ab)-KK	K		39	<i>n.d.</i>	
316430-1	(K-ab) <sub>2</sub> -K-(K-ab) <sub>2</sub> -K-(K-ab) <sub>2</sub>	K		55	<i>n.d.</i>	
325598-1	(dmK) <sub>8</sub>	K		71	stable	
325599-1	(K-dmK) <sub>4</sub>	K		53	<i>n.d.</i>	
325600-1	KK-dmK-KK-dmK-KK	K		41	23	5.8
325601-1	(dmK) <sub>2</sub> -K-(dmK) <sub>2</sub> -K-(dmK) <sub>2</sub>	K		63	<i>n.d.</i>	
326744-1	(hR) <sub>8</sub>	K		30, 20	15.4	3.9

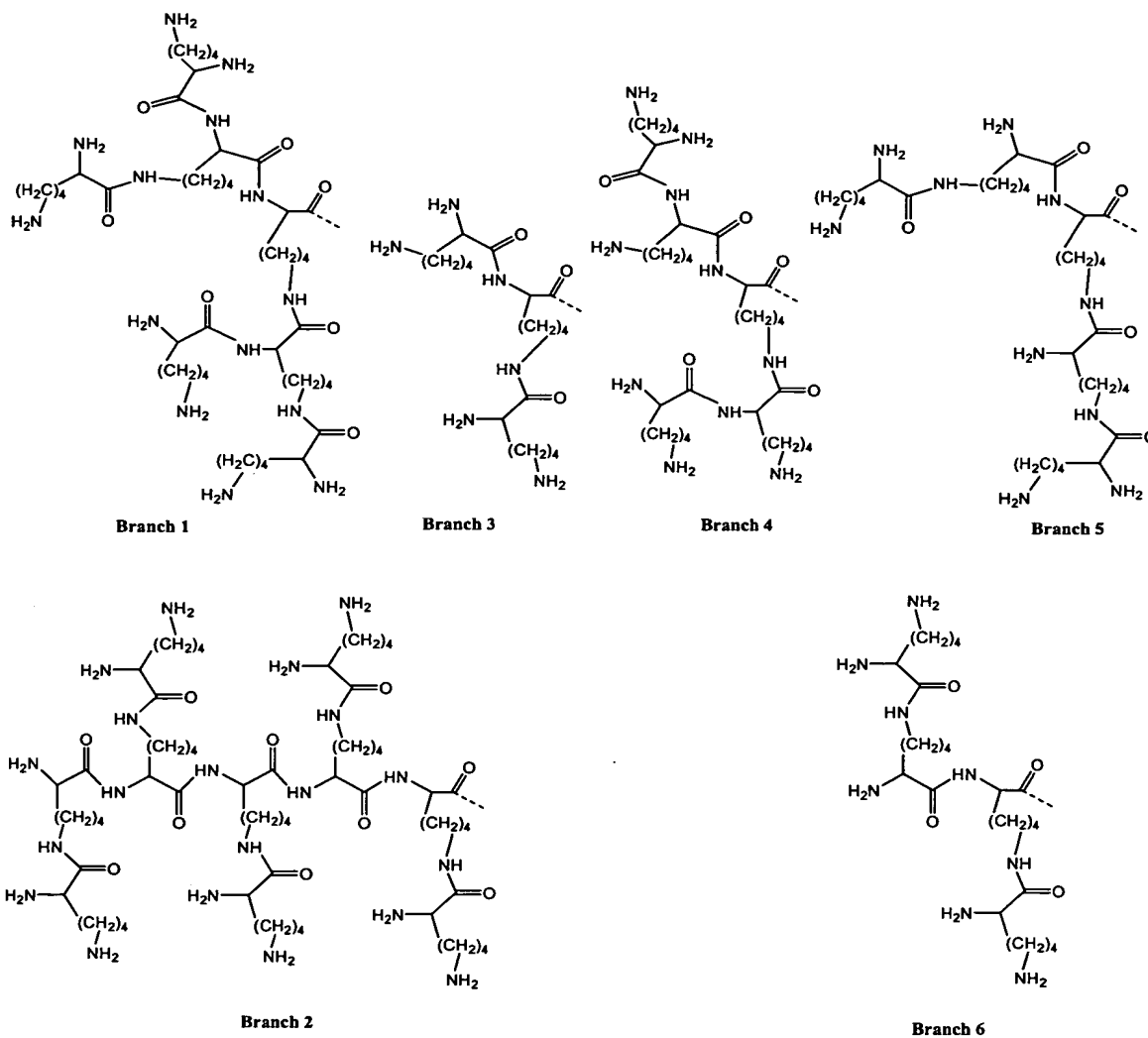
Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in 25% mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
	(hhR) <sub>8</sub>	K		n/a	stable	stable
333677-1	(K-hR) <sub>4</sub>	K		44	n.d.	
333678-1	KK-hR-KK-hR-KK	K		36	n.d.	
338894-1	(DhR) <sub>8</sub>	K		n/a tox.	n.d.	
338895-1	RR-DhR-RR-DhR-RR	K		67	23.6	5.9
326746-1	(norR) <sub>8</sub>	K		90 at 3 $\mu$ M	> 48	> 12
333674-1	G(pK) <sub>8</sub>	K		56	> 48	> 12
333675-1	(K-pK) <sub>4</sub>	K		70	n.d.	
333676-1	KK-pK-KK-pK-KK	K		60	29	7.25
332593-1	(H) <sub>8</sub> (SEQ ID NO: 177)	K		64	44.5	11
332672-1	(KH) <sub>4</sub> (SEQ ID NO:178)	K		73	n.d.	
332673-1	KKHKHKKK (SEQ ID NO: 179)	K		52	5.7	1.4
332674-1	KKGKKGKK (SEQ ID NO: 180)	K		59	n.d.	
313685-1	K <sub>7</sub> -Ci	K		65	n.d.	
313686-1	K <sub>6</sub> -Ci-K	K		59	n.d.	
313687-1	K <sub>5</sub> -Ci-K <sub>2</sub>	K		53	n.d.	
313688-1	K <sub>4</sub> -Ci-K <sub>3</sub>	K		52	n.d.	
313689-1	K <sub>3</sub> -C-K <sub>4</sub>	K		57	n.d.	

Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in 25% mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
313690-1	K <sub>2</sub> -Ci-K <sub>5</sub>	K		55	<i>n.d.</i>	
313691-1	K-Ci-K <sub>6</sub>	K		57	<i>n.d.</i>	
313692-1	Ci-K <sub>7</sub>	K		52	<i>n.d.</i>	
313693-1	KK-Ci-KK-Ci-KK	K		65, 67	<i>n.d.</i>	
310755-1	K <sub>8</sub> - $\beta$ A	K		43	<i>n.d.</i>	
310756-1	K <sub>8</sub> -aca	K		48	<i>n.d.</i>	
310757-1	K <sub>8</sub> -aoc	K		54	<i>n.d.</i>	
310758-1	K <sub>8</sub> -adc	K		68	<i>n.d.</i>	
291335-2	K <sub>8</sub> -aoc-aoc	K		62	<i>n.d.</i>	
310753-1	K <sub>8</sub> -O	K		44	<i>n.d.</i>	
310754-1	K <sub>8</sub> -O-O	K		46	<i>n.d.</i>	
330775-1	(dK) <sub>8</sub> -FRGO	K		46	2.8	0.7
330776-1	(dK) <sub>8</sub> -dF-dRGO	K		54	<i>n.d.</i>	
330777-1	(dK) <sub>8</sub> -ALALGO	K		37	8.7	2.2
330778-1	(dK) <sub>8</sub> -dA-dLdAdLGO	K		36	<i>n.d.</i>	
335296-1	(dK) <sub>8</sub> -WEHDLO	K		59	>48	.12
335299-1	(dK) <sub>8</sub> -dW-dE-dH-dD- dL-O	K		64	<i>n.d.</i>	
335297-1	(dK) <sub>8</sub> -D-E-V-D-L-O	K		90	>48	>12
335300-1	(dK) <sub>8</sub> -dD-dE-dV-dD-	K		89	<i>n.d.</i>	



Isis #/Lot#	N-terminal modification	C-terminal modification	notes	CD40 Protein (% UTC @ 10 $\mu$ M)	$t_{1/2}$ [h] in 25% mouse serum	Est. $t_{1/2}$ [h] in 100% mouse serum
	dL-O					
330781-1	(dK) <sub>8</sub> -G-F-L-G-O	K		38	> 48	> 12
330782-1	(dK) <sub>8</sub> -G-dF-dL-G-O	K		39	<i>n.d.</i>	
339746-1	dK <sub>8</sub> -Cys-disulfide-Cys- O	K		41	17	4.25
339747-1	dK <sub>8</sub> -Cys-disulfide-Pen- O	K		35	30	7.5

The Branch conjugates have the following structures:



**Example 36****Design of phenotypic assays for the use of CD40 inhibitors**

**[0209]** Once CD40 inhibitors have been identified by the methods disclosed herein, the compounds are further investigated in one or more phenotypic assays, each having measurable endpoints predictive of efficacy in the treatment of a particular disease state or condition.

**[0210]** Phenotypic assays, kits and reagents for their use are well known to those skilled in the art and are herein used to investigate the role and/or association of CD40 in health and disease. Representative phenotypic assays, which can be purchased from any one of several commercial vendors, include those for determining cell viability, cytotoxicity, proliferation or cell survival (Molecular Probes, Eugene, OR; PerkinElmer, Boston, MA), protein-based assays including enzymatic assays (Panvera, LLC, Madison, WI; BD Biosciences, Franklin Lakes, NJ; Oncogene Research Products, San Diego, CA), cell regulation, signal transduction, inflammation, oxidative processes and apoptosis (Assay Designs Inc., Ann Arbor, MI), triglyceride accumulation (Sigma-Aldrich, St. Louis, MO), angiogenesis assays, tube formation assays, cytokine and hormone assays and metabolic assays (Chemicon International Inc., Temecula, CA; Amersham Biosciences, Piscataway, NJ).

**[0211]** In one non-limiting example, cells determined to be appropriate for a particular phenotypic assay (i.e., MCF-7 cells selected for breast cancer studies; adipocytes for obesity studies) are treated with CD40 inhibitors identified from the *in vitro* studies as well as control compounds at optimal concentrations which are determined by the methods described above. At the end of the treatment period, treated and untreated cells are analyzed by one or more methods

specific for the assay to determine phenotypic outcomes and endpoints.

**[0212]** Phenotypic endpoints include changes in cell morphology over time or treatment dose as well as changes in levels of cellular components such as proteins, lipids, nucleic acids, hormones, saccharides or metals. Measurements of cellular status which include pH, stage of the cell cycle, intake or excretion of biological indicators by the cell, are also endpoints of interest.

**[0213]** Analysis of the genotype of the cell (measurement of the expression of one or more of the genes of the cell) after treatment is also used as an indicator of the efficacy or potency of the CD40 inhibitors. Hallmark genes, or those genes suspected to be associated with a specific disease state, condition, or phenotype, are measured in both treated and untreated cells.